UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

MERYL BRODSKY

Plaintiff, Pro Se

-against-

THE NEW YORK CITY CAMPAIGN FINANCE BOARD, ON BEHALF OF ZACHARY W. CARTER, CORPORATION COUNSEL OF THE CITY OF NEW YORK

Defendant.

Case No. 17-CV-3186 (JLC)(AJN)

DECLARATION OF MERYL BRODSKY

Submitted by:

Meryl Brodsky Plaintiff, Pro se 150 East 61st Street, #11-K New York, New York 10065 Tel. 212-866-2105; meryl7@verizon.net MERYL BRODSKY declares, under penalty of perjury pursuant to 28 U.S.C. § 1746, that the following is true and correct:

- 1. I am the plaintiff pro se in the above-captioned case. I submit this Declaration in support of Plaintiff's Opposition to Defendant's Motion to Dismiss.¹
- 2. Attached as <u>Exhibit A</u> [¶1-113, 124-125, 137-141, 154-196, 200-252](Dec. A11-41; Dec. D128-131) are **Exhibits 1-4** attached to Brodsky's May 30, 2017 Complaint (ECF Dkt. 5) and **Exhibit 5** from the NYC Sheriff, dated May 8, 2017.

Exhibit 1 [¶ 124, 208] - Response of Computershare to Suh's "Questionnaire...with Restraining Notice" of April 7, 2010 containing answers disseminated in Suh's turnover order, May 14, 2010. The illegal dissemination of return information and execution of 223 shares of Brodsky's ExxonMobil stock ("Exxon" or "XOM"), still in defendant board's possession, represent damages pursuant to 26 U.S.C. § 6103(a) and (b); § 7431(a)(2), 7431(c)(1)(B), 7431(c)(2) and 7431(c)(3):

Among the largest of Brodsky's stock holdings are over _____ shares of ExxonMobil stock that she purchased directly from the corporation.... Brodsky owns at least____ worth of ExxonMobil shares, which could easily used to satisfy the judgment....

Approximately ____ of the over ____ ExxonMobil shares belonging to Brodsky are 'plan shares' held by Computershare in electronic form in an account for Brodsky. See Ex. L (Computershare's Information Subpoena Response) Exhibit 1 (Dec. A13, D128-131)

Also included in **Exhibit 1** is correspondence from Computershare to Brodsky, January 12, 2017, answering her request for the execution check of 223 XOM shares, "we need an approval from the New York City Sheriff's Office as the check is not payable in your name" (Dec. A15); and Computershare's answer to Brodsky of February 14, 2017, enclosing a copy of the XOM check (Dec. A16-17). Since J. Rakower, Suh and Weisman illegally compelled Exxon to transfer Brodsky's private return information to them, then illegally disseminated it on subpoenas and writs of execution,

¹ Brodsky submits this Declaration because defendant has submitted matters outside of the pleadings on a Rule 12(b)(6) motion to dismiss. Pursuant to Rule 12(d), "...[T]he motion must be treated as one for summary judgment under Rule 56. All parties must be given a reasonable opportunity to present all the material that is pertinent to the motion."

etc., attached is the "Wall Street Journal" price of XOM on 4/29/2017 to assess damages which, to date, represents a range of \$75-85 per share. (Dec. A18).

Exhibit 2 [¶ 196, 232] – Lieutenant Lopez's correspondence, January 24, 2017 (Dec. A20) in response to Brodsky (Dec. A27), stating, "Pursuant to CPLR 5021(4)(B) enclosed please find a certified copy of the requested execution endorsed 'partially satisfied' (Dec. A24) in the amount of \$12,546.04 returned to the New York County Clerk on August 3, 2010." (Dec.A21-24) Enclosed are copies of (1) the XOM check (Dec.A25); and (2) the Sheriff's Statement of Disbursement. (Dec. A26) However, Weisman and board counsel have not provided proof "if," "when," or "to whom" the check was endorsed, made payable, or deposited.

Attached to the "Writ of Execution" is former board employee Suh's "List of Judgment Debtor Meryl Brodsky's Specified Property Subject to Execution" (Dec. A23) endorsed by Suh and Weisman enumerating Brodsky's return information, including General Electric Company, Alcatel-Lucent, Citadel Broadcasting Corporation and Exelon Corporation. Suh had illegally disseminated return information in her turnover order of May 14, 2010, stating, "For example, she owns more than ____ worth of shares in the Walt Disney Company and more than ____ worth of shares in General Electric Company" and "Brodsky recently paid approximately \$8,000 in legal fees to oppose the Board's judgment enforcement efforts. See Ex. M (Dep. 111:10-19: 172: 12-13)." [¶ 124-125; Dec. A29]

The return information of XOM stock, c/o Computershare and the "Execution... With Notice to Garnishee," c/o Mellon Investors Services, LLP (Dec.A22) were held at the NY County Clerk and Sheriff's Offices until April 10, 2017 for future execution of Brodsky's holdings. Although the front side of the "Endorsement" attached to the "Execution" was stamped September 7, 2010, the flip side lacks a date. The Clerk informed Brodsky in February 2017 that board employees would have to re-file writ(s) of execution to Mellon or Exxon. Satisfactions of

judgment were *not* submitted by Suh or Weisman to Mellon Investors Services, LLP, ExxonMobil, c/o Computershare, or any other garnishee. Suh, Weisman and J. Rakower colluded *not* to submit a satisfaction of judgment for \$26,010 "unspent" funds, repaid by Brodsky on July 26, 2007, in order to impose "the amount of \$35,850 of which \$11,875 remains due and unpaid" on multiple subpoenas. [¶ 227-238] Their fraudulent scheme devises to expand the amount of "unspent" funds from \$26,010 to \$68,000 for collection from any future election or employment.² [¶ 29-47]

Exhibit 3 [¶ 233] The NYC Comptroller assigned Brodsky Claim #2017LW002809 on January 31, 2017 (Dec. A29) which includes, inter alia, two checks: \$34.56 paid September 11, 2006 (Dec. A32) and \$25,975.44 paid, pursuant to Arthur Greig, Esq., July 26, 2007 (Dec.A30-31), totaling \$26,010; deposited on August 16, 2007 by Julia Tomassetti, former board employee.

Exhibit 4 [¶ 234] Correspondence of Lieutenant Lopez of April 10, 2017 to Brodsky, stating, "In my previous letter to you I sent you a 'partially returned' endorsed property execution pursuant to CPLR 5021(4)(B). However, the property execution was mistakenly endorsed and I have enclosed a certified copy of the corrected property execution endorsed 'fully satisfied.'...This does not change your current status with our office with regard to your previous request." (Dec. A34) The letter implies that according to board employees, the debt for \$12,526.04 remains but board employees would have to re-file the writs of execution. A corrected copy of the property execution was filed at the New York County Clerk on April 10, 2017. (Dec. A35-37)

² Brodsky's total funds were \$119-120,000 including \$26,010 "unspent" repaid. Tomassetti, Weisman and Suh expanded the total to \$188,000, \$38,000, 25% "over the limit," \$150,000, to incur penalties for a debt: [¶ 29-53]

⁽a) Five percent (5%) of \$188,000 is \$9,400, falsified "adjustment to disbursements";

⁽b) Then 5% of \$9,400 = \$470, falsified "penalty"; plus

⁽c) \$470 rounded to \$500, plus \$1,500 additional penalties (\$470, \$777, \$650 (rounded)) is \$2,000: \$470 + (\$500 + \$800 + \$700) = \$2,470 + \$9,400 + \$150,000 + \$26,010 = \$188,000 (rounded)[¶ 37-43]

Thus, \$38,000 - \$26,000 = \$12,000 (rounded) = \$9,400 + \$470 + \$470(500) + \$1,500 = "\$11,87[5]," corresponds to "the aggregate amount of \$35,850, of which \$11,875 remains due and unpaid" imposed on subpoenas and executions. (Dec. E132-189)The difference, \$68,000 (\$188,000 - \$120,000) represents the "partly satisfied," fraudulent debt of \$11,875, "due and unpaid" on about five subpoenas which resulted from the illegal dissemination of returns, 26 USC \$7431(c)(1)(B),(2),(3) and (d). Damages = \$9,400 + \$2,470 + \$1,368 (sheriff's fees, interest) = \$13,238 plus the difference of 223 shares of ExxonMobil at \$75-85/sh. = \$18-19,000 [¶ 37-51, 231] Inserting a falsified total, \$188,000 for \$119-120,000(+/-\$600, bank adi.) yields the spurious conclusion that totals were not required on disclosure statements, violating \$6104,527(j).

Subsequently, Brodsky was told by the Comptroller's Office, June 1, 2017 that on April 3, 2017, board counsel rebutted the Sheriff's contention that interest of \$7,917 was due on \$26,010. Since Suh and Weisman colluded not to file a satisfaction of judgment, no proof exists "if" \$26,010 was paid. On April 27, 2017 board counsel supplied the Comptroller with various documents implying that since \$26,010 had not previously been paid, J. Rakower imposed \$11,875 additional penalties on the unpaid amount in excess of statutory interest, thus the \$7,917 interest lien is not due but "\$12,564.04" may still be owed. Weisman, et al. perpetuate the bald-faced lie that Brodsky and Feinsot did not return \$26,010 for an indeterminate time. Brodsky and Feinsot repaid \$26,010 within a month of the 2007 decision: *no debt was due*. Since J. Rakower lied that "thereafter" Feinsot and Brodsky repaid \$26,010 to the board, *which they did not*, "The sum currently due, \$13,290.40" represents adverse claims to date:

Brodsky appealed the June 21, 2007 decision, and the decision was affirmed by the Appellate Division First Department. A judgment was entered, and thereafter Brodsky returned the \$26,010 in funds to the Board. The sum currently due, \$13,290.40, was calculated by the Board after fees and interest were added to the remaining balance. [No. 118316/06 (N.Y. Sup. Ct. July 9, 2010)] [¶ 235-237; Dec. G238] (D. Dec. Ex. A)

Exhibit 5 - Letter of Lieutenant Lopez informing Brodsky that the Sheriff would honor the request of ExxonMobil, c/o Computershare, for release of the execution check disseminating tax return information under the purview of the IRS.³ (Dec. A39) The letter of May 8, 2017(Dec. A40), with Exxon's letter of January 12 attached states, "Please accept this letter as an approval for you to release a copy of the relevant check to Miss Brodsky."

3. Attached as **Exhibit B** [¶ 66-113](Dec. B42-58; Dec. D125-127) is Brodsky's Opposition to Suh's information subpoena by Leo Glickman, Esq., February 8, 2010:

³ Suh and Weisman did not file a 1099-A(copy A and B) with the IRS for the execution of 223 shares of Brodsky's ExxonMobil stock at about \$13,237.93 which requirement, if not met, carries penalties. They also failed to file an IRS Information Return for the \$26,010 Brodsky repaid in 2007. [¶ 159-163, 219]

The statute, we believe permits us to deposit the instrument solely with the court, rather than the clerk, because to deposit it with the clerk would make it public record and defeat the purpose of the stay provision. We therefore ask that if Petitioner is required to submit an unredacted version of the Information Response, she be allowed to deposit it with your honor's chambers. (Dec. B46)

Further, Suh and Weisman evince "...fraud – on the court and Petitioner regarding the amount owed to Respondent" [¶ 66-77; Dec. B46-47] Attached correspondence from Glickman to Suh, dated January 18 and January 22, 2010, request Suh to modify or withdraw the subpoena, "Since you have not taken the opportunity to discuss the defects, and refused to modify or withdraw it [ex]cept [sic] insofar as to adjust the date, we consider the 'subpoena' a nullity."(Dec.B48-50) Glickman contacted Brodsky on March 19, 2010 concerning the un-redaction of Brodsky's returns, etc. "You must appear for the deposition on April 1. I will also have to provide the information questionnaire on March 23" and Brodsky's response (Dec. B54) On March 22, Suh wrote Glickman, "I am writing to inform you that the deposition on April 1, 2010 in the above-referenced matter may be videotaped." (Dec. B55) Attempting to stop Suh and Weisman's exploitative tactics,

Glickman answers on March 22, 2010: (Dec. B56)

...presumably it is your client's intention to ask Ms. Brodsky questions to assist you in identifying her assets. Obviously, any disclosure of that information beyond the parties to this litigation would pose a security issue to Ms. Brodsky... We therefore ask that you sign a protective order that would enjoin the parties and their attorneys from disseminating the information elicited in the deposition.

Suh responds on March 24, "As a government agency, the CFB is also subject to the New York State Freedom of Information Law (FOIL). Thus, we cannot enter into the protective order requested in your letter because it is contrary to the CFB's disclosure obligations." (Dec. B57-58) Suh lies that a federal or state Freedom of Information Law exists allowing dissemination of private tax returns or return information.

Suh also publicizes libelous information in the "New York Post." On February 19, 2010, Glickman emailed Brodsky, "Maggie Haberman sounds like she's doing a little story on your

litigation with the CFB. I just gave her some of the facts of the case." Haberman reiterates Suh's libelous statements in an article of February 22, 2010 without interviewing Brodsky, "Pol Fights for 'tainted' cash." (Dec.B51-52) Suh libels the treasurer, or "top staffer," whose liability was denied by two state courts and Brodsky who did *not* withhold public funds; Suh further falsifies the amount of "debt." Brodsky answered on March 1, 2010, highlighting the board's transgressions and the 0-9 decision, *Lopez Torres*, 552 U.S. 196. (Dec. B53, Dec. D125-127)

4. Attached as **Exhibit C** [¶104] (Dec. C59-107) are Exhibits A-X (U, V missing) of the April 1, 2010 deposition following J. Rakower's handing Brodsky's tax returns and information to Suh and Weisman on March 23, 2010. They then disseminate the return information on subpoenas and writs of execution to banks, brokerage companies, an accountant and employers:

Exhibit A – Un-redacted Information Subpoena (redacted) (Dec. C60-62)

Exhibit B – Information Subpoena Questionnaire, questions 1-21 (Dec. C63-66)

Exhibit C – Image Archive of Honeywell International, Inc. check (Dec. C67)

Exhibit D – Schwab Statement: 2/1/2010 to 2/28/2010 showing return information for Boeing Co., Exelon Corporation, Xerox Corp.,

American Electric Power Co., Inc. (Dec. C68-69)

Exhibit E – Schwab Statement: 12/1/2009 to 12/31/2009 showing return information for Boeing Co., Exelon Corporation, Xerox Corp., Honeywell International (Dec. C70-71)

Exhibit F – Schwab Statement: 11/1/2009 to 11/30/2009 showing return information for Boeing Co., Exelon Corporation, Xerox Corp.(Dec. C72-73)

Exhibit G – Schwab Statement: 10/1/2009 to 10/31/2009 showing return information for Boeing Co., Xerox Corp., Exelon Corp. (Dec. C74-75)

Exhibit H – Schwab Statement: 8/1/2009 to 9/30/2009 showing return information for Exelon Corp., DuPont E. I. De Nemour & Co., American Electric Power Co., Inc.(Dec. C76-77)

Exhibit I – Schwab Statement: 1/30/2010 to 2/26/2010 showing two Checking Accounts (Dec. C78-85)

Exhibit J – HSBC Joint Checking Statement, 1/8/2009 – 2/6/2009 (Dec. C86)

Exhibit K - HSBC Bank - Charles Schwab check, 9/28/2009 (Dec. C87)

Exhibit L - Charles Schwab Bank Check, 12/28/2009 (Dec. C88)

Exhibit M - Charles Schwab Bank Check, 1/4/2010 (Dec. C89-90)

Exhibit N - Charles Schwab Bank Check, 2/10/2010 (Dec. 91-92)

Exhibit O - HSBC bank deposit, 9/25/2009 (Dec. C93)

Exhibit P – Brodsky's 2008 Individual Income Tax Return (redacted)(Dec. C94-95)

Exhibit Q – Brodsky's 2007 Individual Income Tax Return (redacted) (Dec. C96-97)

Exhibit R – HSBC personal check of nonparty (Dec. C98)

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Exhibit S – HSBC personal check of nonparty (Dec. C99)
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Exhibit W – Notice not served to Brodsky, August 31, 2009 (Dec. C101-104)

Exhibit X – Notice of Judgment Debtor Exemption (Dec. C 105-107)

5. Attached as **Exhibit D** [¶ 114-123] (Dec. D108-131) are excerpts from deposition of April 1, 2010 at the board corresponding to dissemination of Brodsky's tax return information for 2007, 2008 and 2009 [Dec. D94-96, 109]:

Honeywell (tax return) [¶ 114](Dep. 62:2-63:12; 111:12-18)(Dec. D110)

American Electric Power (tax return) [¶115](Dep. 76:16-77:2;79:17-25)(Dec.D111-4)

Eastman Kodak: (tax return) [¶ 116](Dep. 82:23-84:3)(Dec. D115)

ExxonMobil (tax return) [¶ 117] (Dep. 84:18-85:18)(Dec. D116)

General Electric (tax return) [¶ 118] (Dep. 85:19-86:18)(Dec. D117)

Merck (tax return) [¶ 119] (Dep. 90:5-17) (Dec. D118)

Walt Disney (tax return) [¶ 120](Dep. 90:18-91:9)(Dec. D119)

Exelon (Schwab on tax return) [¶ 121] (Dep. 93:13-25;96:13-16;98:8-9)(Dec. D120)

Xerox (Schwab on tax return) [¶122] (Dep. 96:2-11,97:18-98:2)(Dec. D121-122)

Boeing (Schwab on tax return) [¶ 123] (Dep. 93:11; 98:4-14)(Dec. D 123-124)

6. Attached as **Exhibit E** [¶ 126-136](Dec. A23; E132-189) are abstracts of the

subpoenas, restraining orders and correspondence to banks and brokerage companies; notices of levy and executions:

- Two restraining notices; Meryl Brodsky etc. February 8, 2010 (Dec. E133-148)
 Two Subpoenas duces tecum, Meryl Brodsky and joint owner, March 5, 2010
 and March 23, 2010 (Dec. E152-156)
 Brodsky's CPLR § 3120 letters, February 14 and March 11, 2010 (Dec. E149-151)
 Notes and exhibits to Schwab garnishment (D. E157-161)
 *Illegal disclosure of Boeing, Honeywell, AEP, Merck and DuPont from returns
 Notice and Levy, execution of two Schwab accounts, February 12, 2010
 Amount garnished from the two accounts: \$9,160.29, plus fees
- ¶128. (ii) TD Bank, 1701 Route 70 East, Cherry Hill, NJ 08034:
 Information Subpoena with Restraining Notice, September 1, 2009
 Subpoena duces tecum, March 4, 2010 (Dec. E164-166)
 TD Bank letter, March 11, 2010; Brodsky's letter, March 12, 2010 (Dec. E162-163)
- ¶129. (iii) HSBC Bank, P.O. Box 2013, Buffalo, NY 14240:

 *Two subpoenas duces tecum and restraining orders on two bank accounts:

 Meryl Brodsky; Meryl Brodsky and joint owner

 Brodsky's CPLR § 3120 letter, February 13, 2010 No answer (Dec. E167-169)

Exhibit T - Commerce Bank Statement of Time Deposit, 7/27/2007 (Dec. 100)

^{*}Exhibit U – Missing Information

^{*}Exhibit V – Missing Information

- ¶130. (iv) ComputerShare, 250 Royall Street, Canton, MA 02021: *Illegal Disclosure: Information Subpoena with Restraining Order; **Ouestionnaire in Connection with Information Subpoena with** Restraining Notice to ExxonMobil and Eastman Kodak Companies, April 7, 2010 (Dec. E173-182) Suh's turnover order served on ComputerShare May 19, 2010 Illegal disclosure: Notice and Levy, execution of ExxonMobil Brodsky's CPLR § 3120 letter, November 30, 2010 (Dec. E171) ComputerShare letter to Meryl Brodsky, December 10, 2010 (Dec. E172) ¶131. (v) Office of the Sheriff, 66 John Street, New York, NY 10038: Sheriff's levy to ComputerShare for ExxonMobil stock, May 20, 2010 (Dec. E170) Brodsky's CPLR § 3120 letter, December 28, 2010 – No Answer (**Dec. E188-189**) BNY Mellon, P.O. Box 358016, Pittsburgh, PA 15252: ¶132. (vi) Information subpoena with restraining order, April 7, 2010 *Illegal disclosure: Notice and Levy, execution of individual and joint holdings of Alcatel Lucent, Citadel Broadcasting, General Electric and Exelon, July 7, 2010; (Dec. A23) *Sheriff's levy to BNY Mellon, July 7, 2010 BNY letter, response to plaintiff's phone call, September 21, 2010 and October 1, 2010 (Dec. E183-184) Brodsky's CPLR § 3120 letter, October 16, 2010 (Dec. E185) Replevin of Exelon stock, October 23, 2010 ¶133. (vii) Walt Disney Company, 500 S. Buena Vista Street MC 9722, Burbank, CA 91521: Information subpoena with restraining order, April 7, 2010 *Illegal disclosure: Notice and Levy, joint holdings, Walt Disney, July 7, 2010 Sheriff's Levy: Walt Disney letter, January 13, 2011, stop order removed (Dec. E186) Real estate liens on one jointly owned and one private apartment ¶134.(viii) Defendant Suh's phone calls to three employers from W-2s ¶135. (ix) Mark Feinsot, CPA, 38 West 32nd Street, New York, NY 10001: $\P 136. (x)$ Subpoena duces tecum for tax returns to Mark Feinsot, CPA. April 5, 2010 (Dec. F200-202)
- 7. Attached as **Exhibit F** [¶ 142-196] (Dec. F190-230) is Suh's email to J. Rakower, c/o Iris Roberts, June 11, 2010 (Dec. F191); Feinsot's motion to quash, barring dissemination of Brodsky's tax returns (Dec. F192-226); Brodsky's affidavit and Schonfeld, Esq.'s affirmation for adverse claims. (Dec. F227-230)Suh did not answer Feinsot and importuned J. Rakower to "fix" it, which she did. There being no judicial exceptions pursuant to 26 U.S.C. § 6103 and § 7431, J. Rakower nonetheless hands Brodsky's returns to Suh and Weisman then precludes Feinsot's and Brodsky's motions. Despite previously stating, "Oral Argument Directed," now, "The appearance is on the papers only, you don't have to come to court."(Dec. F191) Feinsot, by Sikorski, Esq., states:

To make matters worse for an accountant or other party who discloses tax records of a taxpayer IRC § 7525(a), the act of improper disclosure is a misdemeanor, IRC § 7216 (a) (2). This is in the nature of an attorney violating a client's privilege. A client or a taxpayer alone, not the attorney or accountant, has control over this information. The accountant cannot be forced to disclose this information except in exceptional circumstances (usually criminal proceedings, IRC § 7525(2)), and even then only under court order. [¶ 147]

Pointing out two conflicting orders of August 31, 2009 and September 24, 2007, Sikorski states:

The two judgments, copies of which are attached, are described in the second order as 'Duplicate original of order dated July 17, 2007'. Yet, both orders are different from each other. This raises the question as to whether the second order can be enforced. It is claimed to be a duplicate, yet it clearly is not. It seems that only the first judgment can be enforced, as it is the original, and the other judgment should be vacated. [¶ 151; Dec. F196-197]

Shutting out Brodsky's affidavit as well as Schonfeld's affirmation, "I am asking the Court to leave the judgment intact and to postpone on any Garnishment or the compelling of Ms. Brodsky's brokers to turn over funds to satisfy the judgment until the appeal is determined," J. Rakower, Suh and Weisman evince extrinsic fraud. [¶ 184; Dec. F230]

8. Attached as **Exhibit G** [¶ 187-204] (Dec. G231-243) are two decisions of J. Rakower, No. 118316/06 (N.Y. Sup. Ct. July 9, 2010) (Seq. 04) (Dec G232-235) & (Seq.05) (Dec.G236-239); Rakower's disposition of Case #111590-2011, November 2011(Dec.G240-241); and Appellate decision, *Brodsky v. N.Y.C. Campaign Finance Board*, 2013 N.Y. App. Div. LEXIS 4552. (Dec. G242-243).

Handing Brodsky's return information to Suh and Weisman [¶187-189] enabled them to locate Brodsky's assets, forcing the companies, notably, ExxonMobil to transfer return information to them and release monies for a fraudulent debt. Stating "the Board 'will no longer need the subpoenaed records from Feinsot," Rakower holds him liable for debt because the August 2009 order does and he refuses to disseminate Brodsky's tax returns. (Dec. G239)

After two state judges recused, Case No. 111590-2011 "for renewal and to vacate the money

judgment" pursuant to CPLR § 5015(a)(2) and (3), was routed to J. Rakower who precluded adverse claims. Lacking any answer, Brodsky goes to Rakower's chambers and witnesses her scrawl, "Decline to sign... leave to renew is denied," evincing extrinsic fraud. [¶ 198-199; Dec. G241]

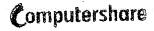
Weisman's and Suh's attempt to stop litigation by failing to serve the August 31, 2009 order, or file notice of entry, incurred additional legal fees. The First Department affirmed that Feinsot was exempt, "The court, however, found petitioner Feinsot was not personally liable for the repayment. Petitioners appealed to this court and we affirmed," *Brodsky v. N.Y.C. Campaign Finance Board*, 2013 N.Y. App. Div. LEXIS 4552. [¶ 202; Dec. G242]

- 9. Attached as **Exhibit H** [¶ 197] (Dec. H244-245) is the Disciplinary Committee of the NYC Association of the Bar's response to Brodsky's complaint, leaving the matter open. Suh and Weisman threatened two or more attorneys with baseless sanctions to deny Brodsky defense throughout the proceeding costing over \$30,000. Accordingly, "[C]oncerning the material allegations of professional misconduct...We have found that a judicial determination of such matters is helpful to the Committee," dated October 28, 2010. [¶ 197]
- 10. Attached as **Exhibit I** [¶ 1](Dec. I246-252) is the Second Circuit opinion, *Brodsky* v. Carter, No. 15-cv-3469, 2016 U.S. Dist. LEXIS 40461 (S.D.N.Y. Mar. 28, 2016), aff'd 673 F. App'x 42 (2d Cir. 2016) which does **not** state Brodsky's IRC claims are precluded by res judicata, collateral estoppel or the statute of limitations. The Decision opines that Brodsky's pleading lacks "specifics" needed to substantiate violations of § 6103 pursuant to 26 U.S.C. § 7431(a)(2) and (d). The facts contained in this Declaration, **Exhibit A** through **Exhibit I** create a foundation:

A complaint filed pursuant to section 7431 must allege with specificity the returns or return information inspected or disclosed, the dates of inspection or disclosure, to whom information was disclosed, and any other facts sufficient to inform the defendant of the particulars of the alleged violation. Absent such information, motions to dismiss for failure to state a claim pursuant to Federal Rule of Civil Procedure 12(b)(6) have been successful. Generally, however, courts dismiss without prejudice and provide plaintiffs an opportunity to amend the complaint.(https://www.irs.gov/pub/irs-pdf/p4639.pdf)

EXHIBIT A

Exhibit 1



Computershare Investor Services 250 Royall Street Canton Massachusetts 02021 www.computershare.com

April 20, 2010

JIHAA SUH NEW YORK CITY CAMPAIGN FINANCE BOARD 40 RECTOR ST, 7TH FL NEW YORK NY 10008

Company Hama:

EASTMAN KODAK COMPANY / EKC

Holder Account Humber:

Registration:

Meryl Brodsky

Company Name:

EXXON MOBIL CORPORATION / XOM

Holder Account Number:

Registration:

Miss Meryl Brodsky

Dear Sir / Madam:

We are in receipt of your follow-up request regarding the subpoena with restraining notice pertaining to the case of Meryl Brodsky, Mark Feinsot, and elect Meryl Brodsky to City Counsel 2005 vs. New York City Finance Board, a copy of which is enclosed for your convenience.

Please be advised that Computershare charges a processing fee of \$10.00, plus \$0.25 per page to respond to Subpoenas. We kindly ask that you submit a check to Computershare in the amount of \$10.25, accompanied by a copy of this letter.

Our records show that account number was established with a credit of certificate number 4 for shares on April 21, 1983. On April 19, 2010, the account held (certificates lotaling shares and on this date, the stock closed at \$7.40 per share. On January 31, February 28, and March 31, 2010, the account held the same balance. Eastman Kodak Company suspended their semi-annual dividend May 5, 2009. Therefore no dividends were paid in 2009.

Account number (was established with a transfer credit of certificate number for shares on January 9, 1981. On April 19, 2010, the account held & certificates totaling ** shares, and ** shares plan shares and on this date, the stock closed at \$68.23 per share. On January 31 and Febraury 28, 2010 the account. held shares. On March 31, 2010, the account held shares.

Exxon Mobil Corporation pays a quarterly dividend and records show the account is enrolled in full dividend reinvestment. Since January 1, 2009, there have been five dividends paid to the account. They are as follows:

March 09 30 June 09 \$ 30 March 2010

Please note certificate shares are held by the shareholder. Since August 31, 2009, we have no record of any sale transaction on either account. We are unable to locate any accounts listed in the names of Carol Breesky and/or Charles Was Breesky, jointly or individually held. We have enclosed a Certification of Business Records. Please note that it is our policy to use our own Certification for matters of this nature. We apologize for any delay in receiving all the details pertaining to your initial request.

If you have any further questions, please visit our web site at www.computershare.com. Or you may contact us by phone at 781-575-2879. We offer an automated telephone service to assist you at any time, or you may reach a representative Monday through Friday, 8:30 AM to 5 PM Eastern Time.

Sincerely

Assistant Tearn Leader

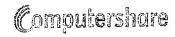
Computershare Shareholder Services

Enclosures: Copy of Subpoena, Certification

REF: rd/UIB0001038839

Case 1:17-cv-03186-AJN-JLC Document 16 Filed 08/29/17 Page 16 of 100

This would be provided as the responsibility of the control of the



Computershare Investor Services 211 Quality Circle, Suite 210 College Station Texas 77845 www.computershare.com

MERYL BRODSKY 150 E 61 ST APT 11 K NEW YORK NY 10065

January 12, 2017

Company:

EXXON MOBIL CORPORATION

Registration:

MISS MERYL BRODSKY

Holder Account Number:

Document I.D.:
Our Reference:

16356WF00030934 XOM/0021479132/1/

Dear Sir/Madam:

Thank you for your inquiry regarding the above referenced account. We appreciate the opportunity to be of service to you on behalf of Exxon Mobil Corporation.

On July 22, 2010, 223 shares were transferred from account number to NEW YORK CITY

SHERRIFF'S OFFICE A Section of the information for the new account was mailed directly to the address registered to that account.

Please be advised that the fee \$100 was refunded to your address of record which you will be receiving in 7 to 10 business days. Also note that we need an approval from the New York City Sheriff's Office as the check is not payable on your name.

Should you have any questions, please call us toll free at 1-800-252-1800. Our telephone representatives are available Monday through Friday between the hours of 8:30 AM and 6:00 PM Eastern Time. If you are calling from outside of the US, please call collect at 1-781-575-2058. Please note that any available representative can assist you. If you have Internet access, you may also obtain information about available services by visiting our web site at www.computershare.com/exxonmobil or write to us at ExxonMobil Shareholder Services, c/o Computershare, P.O. Box 30170, College Station, TX 77842-3170.

Sincerely,

Service Representative

Enclosure: None

Case 1:17-cv-03186-AJN-JLC Document 16 Filed 08/29/17 Page 17 of 100



Computershare Investor Services 211 Quality Circle, Suite 210 College Station Texas 77845 www.computersnare.com

MISS MERYL BRODSKY 150 E 61 ST APT 11 K NEW YORK NY 10065-8527

February 14, 2017

Company:

EXXON MOBIL CORPORATION

Registration:

MISS MERYL BRODSKY

Holder Account Number:

Document I.D.: Our Reference: 17039WF00574877 XOM/0021486529/1/

Dear Shareholder:

Thank you for your inquiry regarding the above referenced account. We appreciate the opportunity to be of service to you on behalf of Exxon Plobil Corporation.

According to our records, check number 89327, in the amount of \$13,237.93, representing the July 27, 2010 sale payment, was negotiated on August 5, 2010.

We have enclosed a photocopy of the canceled check, which should be retained in your personal records.

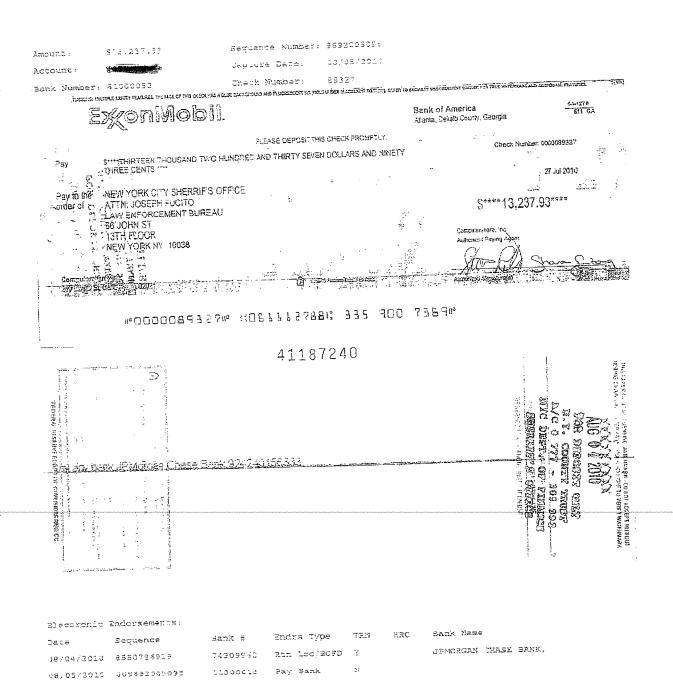
Should you have any questions, please call us toll free at 1-800-252-1800. Our telephone representatives are available Monday through Friday between the hours of 8:30 AM and 6:00 PM Eastern Time. If you are cailing from outside of the US, please call collect at 1-781-575-2058. Please note that any available representative can assist you. If you have Internet access, you may also obtain information about available services by visiting our web site at www.computershare.com/exxonmobil or write to us at ExxonMobil Shareholder Services, c/o Computershare, 2.0. Box 30170, College Station, TX 77842-3170.

Sincerely,

Service Representative

Enclosure: Check copy

Case 1:17-cv-03186-AJN-JLC Document 16 Filed 08/29/17 Page 18 of 100



DJIA ₹ 20940.51 -0.19%

U.S. 10 Yr 7 0/32 Yield 2.281%

1.0897 0.21% Euro

Exxon Mobil Corp.

XOM (U.S.: NYSE)

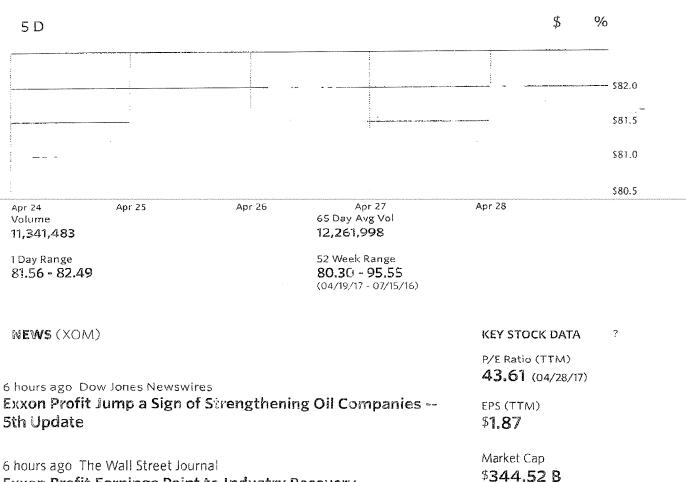
AT CLOSE 4:00 PM EDT 04/28/17

81.65 usd **0.39 0.48**% .

AFTER HOURS 7:36 PM EDT 04/28/17

\$81.62 -0.03 -0.04% 7

AFTER HOURS VOL 730,686



Exxon Profit Earnings Point to Industry Recovery

10 hours ago Dow Jones Newswires

Exxon Profit Jump a Sign of Strengthening Oil Companies --4th Update

Shares Outstanding

4.15 B

Public Float 4.13 B

Exhibit 2

Case 1:17-cv-03186-AJN-JLC Document 16 Filed 08/29/17 Page 21 of 100



CITY OF NEW YORK OFFICE OF THE SHERIFF 66 John Street, 13th Floor New York, NY 10038

Meryl Brodsky 150 East 61st Street, Apt. 11-K New York, NY 10065

Re: Requested execution with endorsed partially satisfied return.

Meryl Brodsky, et. al v. NYC Campaign Finance Board. Sheriff's Case 10013826

February 6, 2017

Dear Ms. Brodsky,

Pursuant to CPLR 5021(4) (B) enclosed please find a certified copy of the requested execution endorsed "partially satisfied" in the amount of \$12,546.04 returned to the New York County Clerk on August 3, 2010. Additionally, a copy of the check sent to us by the garnishee ExxonMobil-Computershare is enclosed as proof of payment received along with our check to the creditor. Presently, there are no cases filed against you with our office. Please feel free to call if you have any questions.

Yours Truly,

Julio Lopez Lieutenant

(212) 291-4945

Case 1:17-cv-03186-AJN-JLC Document 16 Filed 08/29/17 Page 22 of 100

120 - Execution Against Property, To Sheriff, Notice to Gemishees Blank Court, 6 blanks suggested; original; office or py; 2 copies each ©2009 BY இயாம்காதExcelsior, Inc., PuausHER, NYC 10013

for debies and gamistice if officer cannot serve personally, 1-09

Suprema Court of the State of NewYork, County of NewYork Index No. 118316/06

and Elect Meryl Meryl Brodsky, Mark Feinsot, Brodsky to City Council 2005

Plaintiff(s)

EXECUTION WITH WOTHER? GAR油S丹西亚

New York City Campaign Finance Board

(judgment Creditor)

Defendant(s)

THE PEOPLE OF THE STATE OF NEW YORK TO THE SHERIFF OF ANY COUNTY, GREETING:

WHEREAS, in an action in the Supreme

Court of New York State

as plaintiff(s) and as defendant(s)

County of New York

between Meryl Brodsky et al. (see above caption)

New York City Campaign Finance Board

who are all the parties named in said action, a judgment was entered on August 31, 2009

in favor of New York City Campaign Finance Board and against Meryl Brodsky et al. (see above caption) whose last known address is 150 East 61st St. Apt 11K, NY, NY 10065-8527

35,850 including costs, of which \$

judgment creditor(s) judgment debtor(s)

11,875 together with

in the amount of \$ invertest thereon from

remains due and unpaid;

with

WHEREAS, examscript of the judgment was filed on Angrest 31, 2009

, in which county the judgment was entered; and

WHEREAS, & transcript of the judgment was docketed in the office of the Clerk of your county on Argust 31, 2009 the Clerk of the County of New York

NOW, THEREFORE, WE COMMAND YOU to satisfy the said judgment out of the real and personal property of the above named judgment debtor and the debts due to little and that only the property in which said judgment debtor and the debts due to little and that only the property in which said judgment debtor and the debts due to little and that only the property in which said judgment debtor and the debts due to little and the law of the law o debtor who is not deceased has an interest or the debts owed to Manufall be levied upon or sold hereunder; AND TO RETURN this execution to the clerk of the above captioned court within 60 days after issuance unless service of this execution is made within that time or within extensions of that time made in writing by the autorney(s) for the judgment creditor.

Pursuant to CPLR § 5205(1), \$2,500 of an account containing direct deposit or electronic payments reasonably identifiable as statutorily exempt payments, as defined in CPLR § 5205(1)(2), is exempt from execution and the garnishee cannot levy upon or restrain \$2,500 in such an account. NIA because not a banking institution account

Pursuant to CPLR § 5222(i), an execution shall not apply to an amount equal to or less than 90% of the greater of 240 times the federal minimum hourly wage prescribed in the Fair Labor Standards Act of 1938 or 240 times the state minimum hourly wage prescribed in Labor Law § 652 as in effect at the time the earnings are payable, except such part as a court determines to be unnecessary for the reasonable requirements of the judgment debtor and his or her dependents.

TO: Mellon Investor Services LLC/Attn: Shareholder Relations Notice to Carnishee ADDRESS:480 Washington Blvd., Jersey City, NJ 07310

WHEREAS, it appears that you are indebted to the judgment debtor, above named, or in possession or custody of property not capable of delivery in which the judgment debtor has an interest, including, without limitation, the following specified debt and property: See attached list of specified property

NOW, THEREFORE, YOU ARE REQUIRED by section 5232(a) of the Civil Practice Law and Rules forthwith to transfer to the sheriff all personal property not capable of delivery in which the judgment debtor is known or believed to have an interest now in or hereafter coming into your possession or custody including any property specified in this notice: and to pay to the sheriff, upon maturity, all debts now due or hereafter coming due from you to the judgment debtor, notice: and to pay to the sheriff, upon maturity, all debts now due or hereafter coming due from you to the judgment debtor, including any debts specified in this notice; and to execute any documents necessary to effect such transfer or payment;

AND TAKE NOTICE that until such transfer or payment is made or until the expiration of 90 days after the service of this execution upon you or such further time as is provided by any order of the court served upon you whichever event first occurs, you are forbidden to make or suffer any sale, assignment or transfer of, or any interference with, any such property, or pay over or otherwise dispose of any such debt, to any person other than the sheriff, except upon direction of the sheriff or pursuant to an order of the court;

AND TAKE FURTHER NOTICE THAT at the expiration of 90 days after a levy is made by service of this execution, or of such further time as the court upon motion of the judgment creditor has provided, this levy shall be void except as to property or debts which have been transferred or paid to the sheriff or as to which a proceeding under sections 5225 or 5227 of the Civil Practice Law and Rules has been brought,

Dated:

Signatura gan, Associate Counsel

Attorney(s) for Judgment Creditor Jihee Office and Post Office Address NYC Campaign Finance Board

40 Rector Street, 7th Fl., New York NY 10006

, Print name signed ...

21

judgment debtor within a year NOT HAS \$5222(e) CPLR Š presented to judgment

ENDORSEMENT.

Please take notice that the following named defendants were not served with a summons herein, viz.: Mark Feinsot and Elect Meryl Brodsky to City Council 2005

and that, as to them, the execution must be restricted as below prescribed.

An execution against property shall not be levied upon the sole property of such a defendant, but it may be collected out of real and personal property owned by him jointly with the other defendants who were summoned or with any of them, and out of the real and personal property of the latter or any of them.

> Jihee Suh, Associate Counsel Attorney(s) for NYC Campaign Finance Board 40 Rector Street, 7th Fl. New York, NY 10006

Name and Address of Garnishee Mellon Investor Services LLC Attn: Shareholder Relations 480 Washington Blvd. Jersey City, NJ 07310

Address of Judgment Debtor Meryl Brodsky 150 East 61st St., Apt 11K New York, NY 10065-8527

Location of Property

Mellon Investor Services LLC Attn: Shareholder Relations 480 Washington Blvd. Jersey City, NJ 07310 Investor ID# 125132717808

INDEX NO. 118316/06

COURT NYS Supreme

COUNTY OF New York



With Notice to Garnishee

Meryl Brodsky, Mark Feinsot, and Elect

Meryl

Plaintiff(s)

LAW OFFICES OF

NYC Campaign Finance Board 40 Rector Street, 7th Fl. New York, NY 10006

New York City Campaign Finance Board

(Judgment Creditor)

Defendant(s)

Sheriff of any County

Levy and collect as within directed

All shares on attached list

againsi

with interest from besides your fees, etc. Attorney(s) for

Office and Post Office Address

NY County Sheriff's Office/Joseph Fucito 31 Chambers Street, New York, NY 10007

Dated and time received

Sheriff

SUPREME COURT OF THE STATE OF NEW YORK COUNTY OF NEW YORK

In the Matter of the Application of

V.

MERYL BRODSKY, MARK FEINSOT, and ELECT MERYL BRODSKY TO CITY COUNCIL 2005,

Petitioners/Judgment Debtors,

Index No. 118316/06

Hon. Eileen A. Rakower

NEW YORK CITY CAMPAIGN FINANCE BOARD,

Respondent/Judgment Creditor. :

LIST OF JUDGMENT DEBTOR MERYL BRODSKY'S SPECIFIED PROPERTY SUBJECT TO EXECUTION

- shares of General Electric Company stock
- 2) Any dividends to be issued by General Electric Company to Meryl Brodsky
- 3) Shares of Alcatel-Lucent stock
- 4) Any dividends to be issued by Alcatel-Lucent to Meryl Brodsky
- 5) shares of Citadel Broadcasting Corporation stock
- 6) Any dividends to be issued by Citadel Broadcasting Corporation to Meryl Brodsky
- 7) Shares of Exelon Corporation stock
- 8) Any dividends to be issued by Exelon Corporation to Meryl Brodsky

Dated: New York, New York April 16, 2010

Jihee J. Suh, Associate Counsel

Hillary Weisman, Deputy General Counsel

Sue Ellen Dodell, General Counsel

New York City Campaign Finance Board

40 Rector Street, 7th Floor

New York, NY 10006

(212) 306-7100

Attorneys for the Judgment Creditor New York City Campaign Finance Board

FIRE & \$ 5010

SHERIFF'S RESIDENT OF NEW YORK COLUMN OF NEW YORK C

DEPUTY SHERIFF

PARTLY SATISFIED

COLLECTED \$ 12.546

JUID HAR SO PH 2: 41



Computershare

Computershare Trust Company, N.A. PO Box 43078

Providence, RI 02940-3078

800 252 1800 Within USA, US territories & Canada 781 575 2058 Outside USA, US territories & Canada

www.computershare.com/exxonmobil



000317

NEW YORK CITY SHERRIF'S OFFICE ATTN: JOSEPH FUCITO LAW ENFORCEMENT BUREAU 66 JOHN ST 13TH FLOOR NEW YORK NY 10038

Holder Account Number



COR



Symbol Cusip

МОХ 30231G102

CH CS0007.SAL.C.REG.D.S.CIS.051656_2898/000337/000517/6

Exxon Mobil Corporation - Sale Advice

This advice is a result of the sale of Plan and/or Direct Registration shares.

| This advice is a r | S2ftit of tire agree at the | | | - Accept Time | and the second s | | |
|--------------------|-----------------------------|--------------|-----------------|---------------|--|-------------------|----------------------------|
| | | Shares/Units | Price Per l | Gross Amount | Deduction | Deduction Type | Net Amount of Sale (\$) |
| Trade Date | Transaction Description | Sold | Share/Unit (\$) | of Sales (\$) | Amount (\$) | | 13 237,93 |
| 22 Jul 2010 | Sate | 223.000000 | 59.550200 | 13,279.69 | 41.76 | Transaction Fee | 10,201,00 |
| 22 301 70 10 | | | | | | lieno | 90 disclose the source |

Computershare Trust Company, N.A., as agent, upon written request, will provide the name of the executing broker dealer associated with the transaction(s), and within a reasonable amount of time will disclose the source and amount of compensation received from third parties in connection with the transaction(s), if any. If bade time is not included above, it may be available upon written request.



23UDC

X O M



001CD70003

WARNING: NUMBELS SAFETY FRATURES. THE FACE OF THIS CHECK HAS A BLUE BACKGROUND AND FLUORESCENT BIK (HOLD UNDER SLACKLIGHT TO VIEW). REFER TO SECURITY ENDORSEMENT BACKER FOR TRUE WATERMARK AND ADMINISTRAL FRATURES.



Bank of America Atlania, Dekalb County, Georgia 64-1278 611 GA

PLEASE DEPOSIT THIS CHECK PROMPTLY.

\$****THIRTEEN THOUSAND TWO HUNDRED AND THIRTY SEVEN DOLLARS AND NINETY

Check Number: 0000089327

Pay THREE CENTS ***

27 Jul 2010

Pay to the order of

NEW YORK CITY SHERRIF'S OFFICE

ATTN: JOSEPH FUCITO

LAW ENFORCEMENT BUREAU

66 JOHN ST 13TH FLOOR

NEW YORK NY 10038

\$****13.237.93****

Computershare, inc. Authorized Paying Agent

Authorized Signature(s)

Computershare, Inc. 250 Royall St. Canton, MA 02021 Security Fernand Death on Bush

MOOOOO843270 80811827886 900 335

| DATE 210 0140 | AMOUNT ,546.04 | * TUSTUR THOUSAUN RIVE KUNUBARN KORPV-STY DOLLARS. * | ADLE MP | INDICATE A COPY. | STATEMENT OF DISBURSEMENT DISTRIBUTION | DATE | 08/18/2010 | TOTAL DISBURSEMENTS | \$15,257.95 |
|---|---|--|--|---|---|-----------------|---|---------------------------|-------------|
| No 054548 | AMOUNT ************************************ | donskun BTVR HillibiR e | NON NEGOTIABLE | SENCE OFTHESE FEATURES | No 054548 | | ק אתאמוס מאנח מואת מאנח מואת | MISCELLANEOUS | 900 |
| No Of | 54548 ID | ቀ ተመደተመሞ ቀ በ ክክክን ቀባ ጠዛማና ቀ | | WANNATTAN BAWIK NY 10005 NY 10005 THIS DOCUMENT IS PRINTED ON SECURITY PAPER AND A MICRO PRINT SIGNATURE LINE. ABSENCE OF THESE FEATURES INDICATE A COPY. I*** ロロ こ *** ロ こ | | NOL | NEW YORK CIPS CELIPATON PYNANOR BOARD INSYL REDICKY, MARK PRINKOT, AND BIR | PLAINTIFF/ATTORNEY | 12,546,24 |
| - 930-kind-dusarius 2010-000-000-ur-a004 ko.01 | FINANCE YOND | SUH, ESQ. 774 FT. | | EQUATY PAPER AND A MIC | : Z 2 | TITLE OF ACTION | | FEES | 36.05 |
| EW YORK OF FINANCE | E SHERIFF JUNTY DIVISION B OF | GILLIAN SUH, FX KTTRET, 7TH FIL NY 10006 | RESENTED FOR | NTAN BANK 05 OCUMENT IS PRINTED ON SI 1°0 U E To E In E 10°0 | THE CITY OF NEW YORK DEPARTMENT OF FINANCE OFFICE OF THE SHERIFF NEW YORK COUNTY DIVISION 31 CHAMBERS ST., NEW YORK, N.Y. 10007 | UMBEH | 2 | DISBURSEMENT DISTRIBUTION | 651.89 |
| THE CITY OF NEW YORK DEPARTMENT OF FINANCE | OFFICE OF THE SHERIFF NEW YORK COUNTY DIVISION PAY TO THE ORDER OF MATCHER BY ECTION CAMPATON FINANCE: | AD NEW YORK | VOID UNLESS PRESENTED FOR PAYMENT WITHIN 90 DAYS | THE CHASE WANHATTAN BANIK NEW YORK, NY 10035 THS DOCUMENT IS PR | THE CITY OF NEW YORK DEPARTMENT OF FINAN OFFICE OF THE SHERIFI NEW YORK COUNTY DIV 31 CHAMBERS ST., NEW YORK, N. | CASE NUMBER | 13826 (Bamsey | DISBURSEMEN | 38200 |

Case 1:17-cv-03186-AJN-JLC Document 16 Filed 08/29/17 Page 28 of 100

MERYL BRODSKY 150 EAST 61ST STREET, #11-K NEW YORK, NEW YORK 10065 TELEPHONE/FAX: (212) 866-2105 email: ___________

January 24, 2017

CONFIDENTIAL - CORRECTED COPY NYC Sheriff's Office, Attn. Legal Affairs 30-10 Starr Avenue Long Island City, New York 11101

Re: Sheriff's Case # 10013826 Closed. (See Attachment 1)

Dear Sir or Madam:

I am personally informed by the NYC Sheriff's Office at 66 John Street, New York, N.Y. 10038 that I have an unsatisfied judgment against me for interest of \$7,917.00 This is a serious misrepresentation.

Following a lawsuit, Elect Meryl Brodsky et al. v. the New York City Campaign Finance Board, 113316/05 (N.Y. Sup. Ct. June 27, 2007) commenced in May 2007, Brodsky paid \$25,975.44 to the NYC Campaign Finance Board, c/o JP Morgan Chase, 4 CMC, Brooklyn, New York on July 26, 2007. Brodsky was obligated to return \$26,010 "unspent" funds pursuant to her accountant's audit. (\$34.56 was previously paid on September 11, 2006 for a total \$26,010.) See Attachments 2 and 3.

The Campaign Finance Board (CFB) never credited \$26,010 against the alleged debt. Thus, claiming so much interest is a fraud. After a three-year lapse, the same judge imposed an additional amount that Brodsky did not owe. (Brodsky et al. v. CFB, N.Y. Sup. Ct. July 9, 2010) The board levied 223 shares of Brodsky's ExxonMobil stock on July 22, 2010 for an \$11,875 judgment, plus interest and sheriff's poundage, totaling \$13,237.93. On August 26, 2010, Jihee Suh sent Brodsky a Satisfaction of Judgment. See Attachment 4. Therefore, based on all of the foregoing, interest is not due and owing. Please try to correct this misrepresentation at your earliest convenience.

Further, I am informed by ExxonMobil that I need an approval from the NYC Sheriff's Office to receive the cancelled check, # 89327. See Attachment 5. The letter, dated January 12, 2017 states, "Also note we need an approval from the New York City Sheriff's Office as the check is not payable in your name." Pursuant to NYS Public Officer's law, Sections 87(2)(b) and 89(2)(b)(iii), I need the information for tax returns and other matters. If you could provide approval for ExxonMobil to release a copy of the cancelled check, in writing, email or telephone, this request will be answered. I can be reached at 212-866-2105;

gradi oradam.

Yours truly,
Methode Broadley
Meryl Brodsky

Attachments

Exhibit 3



015 - 152

THE CITY OF NEW YORK OFFICE OF THE COMPTROLLER CLAIMS AND ADJUDICATIONS 1 CENTRE STREET ROOM 1200 NEW YORK, N.Y. 10007-2341

Scott M. Stringer COMPTROLLER

Date:

01/31/2017

RE:

Acknowledgment - Law

WWW.COMPTROLLER.NYC.GOV

MERYL BRODSKY

Claim number: 2017LW002809

Contract no:

MERYL BRODSKY 150 E 61 ST NEW YORK, NY 10065

Receipt is hereby acknowledged of your claim against the City of New York. Please refer to the above claim number on any correspondence or inquiry you may have with this office. The claim is currently under investigation. If you have any questions regarding your claim, you may contact us at 212-669-4736.

Yours truly,

Bureau of Law & Adjustment

LAW DIVISION (212) 669-4736

ARTEUR W. GREIG
LAW
LOS SUITE 1902

NUW YORK, NEW YORK 10018

(912) 9<u>41</u>-0230

July 26, 2007

Hillary Weisman, Esq.
Deputy General Counsel
New York City Campaign Finance Board
40 Rector Street, 7th Floor
New York, N.Y. 10006

Re:

Matter of Meryl Brodsky , Elect Meryl Brodsky to City Council 2005

NYC Campaign Finance Board

Dear Ms. Weisman:

This office represents Maryl Brodsky and her campaign committee, Elect Meryl Brodsky to City Council 2005. Enclosed please find an official check in the amount of \$25,975.44 representing the balance of the public funds which the Campaign owes to the NYC Campaign Finance Board and the City Fund. As you may know, the Campaign originally offered to return this money in August, 2006.

Since Ms. Brodsky and the Campaign intend to appeal Justice Rakower's recent decision and order, the funds herein are tendered and paid "under protest."

Thank you for your kind attention to this matter, and should you require any further information, please feel free to contact this office.

, or) () ()

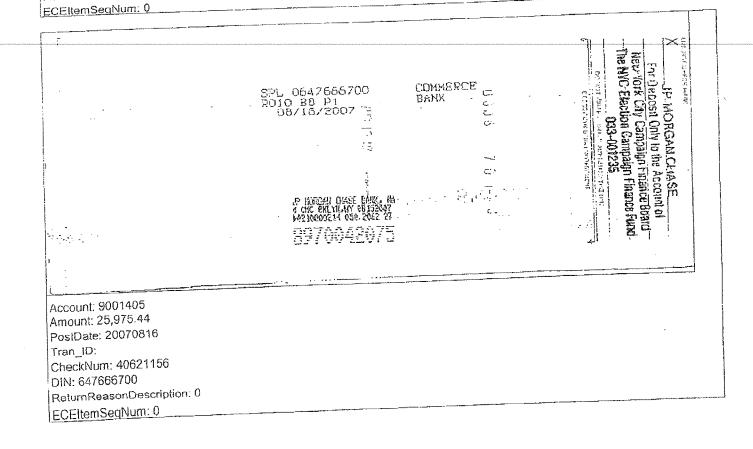
Arthur W. Greig

AWG/ms

enclosure: Official Check in the amount of \$25,975.44

cc: Meryl Brodsky

| | The state of the s |
|--|--|
| Commerce | 406- 21156 1124/2007 \$25,975.44 ollars AND 44 Cents Dollars |
| 2521 BROADWAY NEW YORK, NY 10025 | 7/24/2007 |
| O 1555 ***NYC ELECTION CAMPAIGN FINANCE FUND* | :as : : : : : : : : : : : : : : : : : : |
| T O SEC TOTHE CADER OF | \$25,975.44 |
| No. 250 Twenty Five Thousand Nine Hundred Seventy Five Di | ollars AND 44 Cents DOLLARS |
| THE SUM 25.0 750015 1175 PAID UNDER PROTEST PAID UNDER PROTEST | 1640 51 1000 259 ? 54411 3 |
| ccount: 9001405 | |
| mount: 25,975.44 PostDate: 20070816 | |
| | |
| ran_ID: CheckNum: 40621156 | |
| DIN: 647666700 | |
| ReturnReasonDescription: 0 | |



> JP MORGAN CHASE for Jeposit Only to ine Account of ew York Olly Campaign Fluidice Board e NYC Clestion Campaign Fluidice Run 083-001235

DEOGOTOLOG REPRESENTATION DO REPRESENTATION DO REPRESENTATION DE R Will the second second

Exhibit 4

Case 1:17-cv-03186-AJN-JLC Document 16 Filed 08/29/17 Page 35 of 100



CITY OF NEW YORK OFFICE OF THE SHERIFF 66 John Street, 13th Floor New York, NY 10038

Meryl Brodsky 150 East 61st Street, Apt. 11-K New York, NY 10065

Re: Amended property execution endorsed "fully satisfied" return.

Meryl Brodsky, et. al v. NYC Campaign Finance Board. Sheriff's Case 10013826

April 10, 2017

Dear Ms. Brodsky.

In my previous letter to you I sent you a "partially retuned" endorsed property execution Pursuant to CPLR 5021(4) (B). However, the property execution was mistakenly endorsed incorrectly and I have enclosed a certified copy of the corrected property execution endorsed "fully satisfied" A corrected return was sent to the New York County Clerk's Office on April 10, 2017. This does not change your current status with our office with regard to your previous request. Please feel free to call if you have any questions.

Yours Truly,

Julio Lopez Lieutenant

(212) 291-4945

Case 1:17-cv-03186-AJN-JLC Document 16 Filed 08/29/17 Page 36 of 100

within

120 - Execution Against Property, To Sheriff, Norice to Gernishee; Blank Court, 6 blanks suggested; original; office copy; I copies each 02009 av BitimbergExcelsion, Inc., Publisher, NYC 10013 www.blumberg.com

for debter and garnishee if officer cannot serve personally, 1-48)

Supreme Court of the State of NewYork, County of NewYork Index No. 118316/06 and Elect Meryl Meryl Brodsky, Mark Feinsot, Brodsky to City Council 2005

Plaintiff(s)

EXECUTION WITH NOTICE TO GARNISHEE

against New York City Campaign Finance Board (Judgment Creditor)

Defendant(s)

THE PEOPLE OF THE STATE OF NEW YORK TO THE SHERIFF OF ANY COUNTY, GREETING:

WHEREAS, in an action in the Supreme

Court of New York State

County of New York

between Meryl Brodsky et al. (see above caption)

as plaintif(s) and as defendant(s)

New York City Campaign Finance Board

who are all the parties named in said action, a judgment was entered on August 31, 2009

judgment creditor(s)

in favor of New York City Campaign Finance Board

judgment debtor(s)

and against Meryl Brodsky et al. (see above caption) whose last known address is 150 East 51st St. Apt 11K, NY, NY 10065-8527

11,875together with

in the amount of \$

25,850 including costs, of which \$ remains due and unpaid;

with

-interest thereon from

WHEREAS, exanscript of the judgment was filed on Arguest 31, 2000 in which county the judgment was entered; and the Clerk of the County of New York. WHEREAS, a transcript of the judgment was docketed in the office of the Clerk of your county on August 31, 2009

NOW, THEREFORE, WE COMMAND YOU to satisfy the said judgment out of the real and personal property of the above auntil judgment debtor and the debts due to be and that only the property in which said judgment Menyi Brodsky debtor who is not deceased has an interest or the debts awed to have shall be levied upon or sold hereunder; AND TO RETURN this execution to the clerk of the above captioned court within 60 days after issuance unless service of this execution is made within that time or within extensions of that time made in writing by the attorney(s) for the judgment creditor

Pursuant to CPLR § 5205(I), \$2,500 of an account containing direct deposit or electronic payments reasonably identifiable as statutorily exempt payments, as defined in CPLR § 5205(1)(2), is exempt from execution and the garnishee cannot levy upon or restrain \$2,500 in such an account. WA because not a banking institution account

Pursuant to CPLR § 5222(i), an execution shall not apply to an amount equal to or less than 90% of the greater of 240 times the federal minimum hourly wage prescribed in the Fair Labor Standards Act of 1938 or 240 times the state minimum hourly wage prescribed in Labor Law § 652 as in effect at the time the carnings are payable, except such part as a court determines to be unnecessary for the reasonable requirements of the judgment debtor and his or her dependents.

TO: Mellon Investor Services LLC/Attn: Shareholder Relations Rotice to Gurnisher ADDRESS:480 Washington Blvd., Jersey City, NJ 07310

WHEREAS, it appears that you are indebted to the judgment debtor, above named, or in possession or custody of property not capable of delivery in which the judgment debtor has an interest, including, without limitation, the following specified debt and property: See attached list of specified property

NOW, THEREFORE, YOU ARE REQUIRED by section 5232(a) of the Civil Practice Law and Rules forthwith to transfer to the sheriff all personal property not capable of delivery in which the judgment debtor is known or believed to have an interest now in or hereafter coming into your possession or custody including any property specified in this notice; and to pay to the sheriff, upon maturity, all debts now due or hereafter coming due from you to the judgment debtor, including any debts specified in this notice; and to execute any documents necessary to effect such transfer or payment;

AND TAKE NOTICE that until such transfer or payment is made or until the expiration of 90 days after the service of this execution upon you or such surther time as is provided by any order of the court served upon you whichever event first occurs, you are forbidden to make or suffer any sale, assignment or transfer of, or any interference with, any such property, or pay over or otherwise dispose of any such debt, to any person other than the sheriff, except upon direction of the sheriff or pursuant to an order of the court;

AND TAKE FURTHER NOTICE THAT at the expiration of 90 days after a leav is made by service of this execution, or of such further time as the court upon motion of the judgment creditor has provided, this levy shall be void except as to property or debts which have been transferred or paid to the sheriff or as to which a proceeding under sections 5225 or 5227 of the Civil Practice Law and Rules has been brought,

Print Name signed, Like Suh Signature ..., Mh, Associate Counsel

Attorney(s) for Judgment Creditor Jihee Office and Post Office Address NYC Campaign Finance Board

40 Rector Street, 7th Fl., New York NY 10006

35

70Y HAS 8 Ď, presented form the

ENDORSEMENT

Please take notice that the following named defendants were not served with a summons herein, viz.: Mark Feinsot and Elect Meryl Brodsky to City Council 2005

and that, as to them, the execution must be restricted as below prescribed.

An execution against property shall not be levied upon the sole property of such a defendant, but it may be collected out of real and personal property owned by him jointly with the other defendants who were summoned or with any of them, and out of the real and personal property of the latter or any of them.

> Jihee Suh, Associate Counsel Attorney(s) for NYC Campaign Finance Board 40 Rector Street, 7th Fl. New York, NY 10006

Name and Address of Garnishee Mellon Investor Services LLC Attn: Shareholder Relations 480 Washington Blvd. Jersey City, NJ 07310

Address of Judgment Debtor Meryl Brodsky 150 East 61st St., Apt 11K New York, NY 10065-8527

Location of Property

Mellon Investor Services LLC Attn: Shareholder Relations 480 Washington Blvd. Jersey City, NJ 07310 Investor ID# 125132717808

INDEXNO. 118316/06

COURT NYS Supreme

COUNTY OF New York

AGAINST PROPERTY With Notice to Gamishee

Meryl Brodsky, Mark Feinsot, and Elect Meryl

Plaintiff(s)

LAW OFFICES OF

NYC Campaign Finance Board 40 Rector Street, 7th Fl. New York, MY 10006

against

New York City Campaign Finance Board (Judgment Creditor)

Defendant(s)

Sheriff of any County

Low and collect as within directed

All shares on attached list

with interest from besides your fees, etc. Attorney(s) for

Office and Post Office Address

NY County Sheriff's Office/Joseph Fucito 31 Chambers Street, New York, NY 10007

Dated and time received

Sheriff

SHERIFF'S RETURN CITY OF NEW YORK NEW YORK COUNTY

Deputy Sheriff

APR I O 2017

FULLY SATISFIED

Exhibit 5

Case 1:17-cv-03186-AJN-JLC Document 16 Filed 08/29/17 Page 40 of 100



CITY OF NEW YORK OFFICE OF THE SHERIFF 66 John Street, 13th Floor New York, NY 10038

Meryl Brodsky 150 East 61st Street, Apt. 11-K New York, NY 10065

Re: Requested execution with endorsed partially satisfied return.

Meryl Brodsky, et. al. v. NYC Campaign Finance Board. Sheriff's Case 10013826

May 3, 2017

Dear Ms. Brodsky.

Enclosed for your records, please find a copy of our letter to ExxonMobile c/o Computer share honoring their request.

Yours Truly.

Julio Lopez Lieutenant

(212) 291-4945

Gase 1:17-cv-03186-AJN-JLC Document 16 Filed 08/29/17 Page 41 of 100



CITY OF NEW YORK OFFICE OF THE SHERIFF 66 John Street, 13th Floor New York, NY 10038

ExxonMobil Shareholder Services c/o Computershare PO Box 30170 College Station, TX 77842-3170

May 3, 2017

Company: Exxon Mobil Corporation Registration: Miss Meryl Brodsky

Holder Account Number:
Document ID: 16356WF00030934
Your Reference: XOM/0021479132/1/

To Whom It May Concern:

This letter is in reference to your January 12, 2017 letter sent to Miss Meryl Brodsky, regarding a July 22, 2010 Exxon Mobil Corporation share transfer. On July 22, 2010 223 shares of ExxonMobil stock were transferred from Miss Brodsky's account to the New York City Sherriff's Office to satisfy a judgment.

Miss Brodsky requested a copy of the cheek related to this sale. In your response, you stated that "we need an approval from the New York City Sheriff's Office as the check is not payable on your name." Enclosed find a copy of the January 2017 letter with the relevant sentence underlined.

Please accept this letter as an approval for you to release a copy of the relevant check to Ms. Brodsky.

Please contact me if you have any further questions. I can be reached at 212-291-4945.

Sincerely

Julio Lopez Lieutenant

Office of the Sheriff

Enclosure

型域 pon Macan Those 动物结合物 (15)。 不能知识是是在这个主角的对方的是 如何。 不是 क राज्या के राज्ये देश होता हो हो हो सम्बद्धिया है, जिस्सार प्रतास स्थाप स्थाप से स्थाप है के स्थापन है के राज्ये के स्थापन इंग्लिक साथ है के वे करावत है। यो ने स्टिस्ट कार्यक मो का कार्यक्षित स्वास्त्र है कार्यक्रिक है।

Computershare

Computerchare Investor Services 211 Quality Circle, Suite 210 College Station Teres 77845 awarcomputeranare.com

MERYL BRODSKY 350 E 51 ST APT 11 K NEW YORK MY 10065

January 12, 2017

Company: Registration: Holder Account Number:

Document L.D.: Our Reference:

EXXON MOBIL CORPORATION MISS MERYL BRODSKY

16356WF00030934 KOM/0021479132/1/

Dear Sir/Madam:

Thank you for your inquiry regarding the above referenced account. We appreciate the opportunity to be of service to you on behalf of Exxor Mobil Corporation.

to NEW YORK CITY On July 22, 2010, 023 shares were transferred from account number An account statement containing the information for SHERRIFF'S OFFICE the new account was malled directly to the address registered to that account.

Please be advised that the fee \$100 was refunded to your address of record which you will be receiving in 7 to 10 business days. Also note that we need an approval from the New York City Sheriff's Office as the check is not payable on your name.

Should you have any questions, please call us toll free at 1-800-252-1800. Our telephone representatives are available Monday through Pricisy between the hours of 8:30 AM and 6:00 PM Eastern Time. If you are calling from outside of the US, piessa call collect at 1-781-575-2058. Piesse note that any available representative carr assist you. If you have internet access, you may also obtain information about available services by visiting our web site at www.computetshare.com/exagnmobil or write to us at ExanMobil Shareholder Services, c/o Computershare, P.O. Box 30170, College Station, TX 77842-3170.

Sincerely

Service Representative

Enclosure: None

EXHIBIT B

| SUPREME COURT OF THE STATE OF NEW YORK COUNTY OF NEW YORK | |
|---|---|
| MERYL BRODSKY, MARK FEINSOT, and ELECT MERYL BRODSKY TO CITY COUNCIL 2005, | Index No. 118316/06 |
| Petitioners, | Hon. Eileen A. Rakower |
| -against- NEW YORK CITY CAMPAIGN FINANCE BOARD, | Memorandum of Law in Opposition to Respondent's Order to Show Cause |
| Respondent. | |
| | : |

Preliminary Statement

Petitioners oppose Respondent's Order to Show Cause. Petitioner has filed a Notice of Appeal, appealing this court's final determination in this matter dated December 16, 2009. Such action stays all further proceedings. In addition, we ask the court to reconsider its denial of Petitioner's Motion to Vacate the judgment in view of the new information that has come to light, to wit, Respondent's continued failing to acknowledge the amount repaid by Petitioner in plain violation of the CPLR. Finally, we ask the court to Quash the Subpoena.

I. The Order is Appealable

Respondent contends that petitioners have no right to appeal Article 78 proceedings. See ¶23 of Affirmation of Jihee Suh. While we understand that a public agency might want to give petitioners as few opportunities as possible to challenge its decision, the CFB's statement of the law is flatly incorrect. The Appellate Division has

Newark v. Law Dep't of City of New York, 779 N.Y.S.2d 59 at 60 (1st Dept. 2004);

Cohen v. State, 770 N.Y.S.2d 361 at 362 (3d Dept. 2003); Il Classico Restaurant, Inc. v.

Colin,680 N.Y.S.2d 107 at 108 2d Dept. 1998 (rejecting consideration on appeal of an interlocutory matter but considering the final order of an Article 78 proceeding).

Respectfully, we submit that the relevant question for this court to decide is whether the December 16, 2009 order was final or non-final.

The order was final. It denied petitioners cross motion to vacate the judgment. The Petitioner believes that Respondent's material omissions and fraudulent representations made in the judgment document, and other documents served on the petitioner render the judgment fraudulent and therefore should be vacated. There is no further recourse for Petitioner in this matter that can be redressed with this court in this litigation. Petitioner submits, therefore, that an appeal of right lies based on the court's decision.

In <u>Potash v. Malik</u>, the Appellate Division held that in an Article 78 proceeding an appeal lied from a Special Term decision finding that the City Clerk had wrongly invalidated signatures from a petition and ordered the Clerk to go back and count the number of valid signatures under the court imposed standards. The Clerk filed an appeal but agreed to re-count the signatures under the standards imposed by the Special Term order to determine if the petition was valid under the rules imposed by Special Term. The Appellate Division considered the appeal and reversed in Special Term, considering the Special Term decision "final" in spite of the continuance in counting. <u>Potash</u> 232 N.Y.S.2d 993 (4th Dep't. 1962) at 996.

Respondent here argues that the pendency of collection procedures renders the court's Decision and Order non-final. Surely this can not be the case. Respondent's view would eviscerate and render meaningless the stay provisions of CPLR § 5519. Furthermore, this court's decision did not reserve any part of Respondent's motion for decision. It did not grant Respondent's Motion for Contempt. There is no question that Respondent would have had to file a new motion to seek Contempt against Petitioner.

Since the December 16 decision and Order of the court was final, an appeal of right lies from it and, we respectfully submit that all collection proceedings must be stayed.

II. <u>Petitioner Need Not Serve an Unredacted Version of Her Information Subpoena</u>
Response on Respondent

Respondent concedes that the automatic stay provision in CPLR § 5519(a)(5) applies to an order "direct[ing] the execution of any instrument." The December 16 order of this court directs Petitioner to respond to the Information Subpoena, which of course requires that execution of a document answering questions about Petitioner's assets, and that it must be duly signed and notarized. Respondent's argument, besides referring to Petitioner's common sense interpretation of the statute as "strained and erroneous" amounts to an unsupported conclusory statement that "an information Subpoena is not an instrument for purposes of § 5519(a)(5)". Petitioner is certainly under the impression that the court has ordered her to execute an instrument.

Petitioner served a copy of the redacted Information Subpoena response to

Respondent, even though the statute does not require it, in a good faith attempt to give

Respondent notice of how we were proceeding. We deposited it with the judge and clerk.

The statute, we believe permits us to deposit the instrument solely with the court, rather than the clerk, because to deposit it with the clerk would make it public record and defeat the purpose of the stay provision. We therefore ask that if Petitioner is required to submit an unredacted version of the Information Subpoena Response, she be allowed to deposit it with your honor's chambers.

III. The Deposition Subpoena is Defective and Null and Void

Respondent's Deposition Subpoena fails to comply with the most basic notice requirement for enforcing money judgments. CPLR § 5223 states as follows:

At any time before a judgment is satisfied or vacated, the judgment creditor may compel disclosure of all matter relevant to the satisfaction of the judgment, by serving upon any person a subpoena, which shall specify all of the parties to the action, the date of the judgment, the court in which it was entered, the amount of the judgment and the amount then due thereon, and shall state that false swearing or failure to comply with the subpoena is punishable as a contempt of court.

Emphasis added.

Attached is a copy of the Deposition Subpoena. We note that – yet again – Respondent fails to acknowledge that Petitioner had paid the great majority of money owed pursuant to the court's original decision and order in contravention of the CPLR's very clear instruction.

The undersigned is aware that Respondent has taken collection actions against other candidates within its jurisdiction, so it would stretch belief that the omission of such an obvious provision was mere oversight. Petitioner also advised Respondent about defects in the subpoena by letter, attached, and by telephone on January 22, 2010 and asked them to modify or withdraw it, but they refused. We believe that this is yet another

4

material omission – if not fraud – on the court and Petitioner regarding the amount owed to Respondent and we therefore respectfully suggest that the court should reconsider its denial of the Motion to Vacate the Judgment.

Given Respondent's refusal to modify or withdraw an obviously defective subpoena, we ask the court to Quash it pursuant to CPLR §2304.

Dated: Brooklyn, NY February 8, 2010

Respectfully submitted,

Leo Glickman

Stoll, Glickman & Bellina, LLP

71 Nevins Street

Brooklyn, NY 11217

(718) 852-0507

01/18/2010 16: 16: 178 cs/203486-AJN-JLC Document 16 Filed 08/29/17 Page 49 of 100

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STOLL, GLICKMAN & BELLINA ATTORNEYS

71 Nevins Street Brooklyn, NY 11217

P: (718) 852-3710 F: (718) 852-3586 www.stollelickman.com

Jihee Suh
NYC Campaign Finance Board
40 Rector St.
New York, NY 10006
By Fax: 212-306-7143

January 18, 2010

Re: Meryl Brodsky et al. v. New York City Campaign Finance Board

Dear Ms. Suh:

I write in reference to a subpoena allegedly executed by you on January 14, 2010 commanding the Meryl Brodsky appear in your office on January 26, 2010. The subpoena is defective in a number of ways, and we ask that, on behalf of your client, withdraw or modify the subpoena. Feel free to call to discuss our view about its defects.

Given the short period of time you have made the subpoena returnable, if you do not notify me that you will modify or withdraw the subpoena by close of business Tuesday, January 19, I will assume you are refusing to do so and will act accordingly. Also, if you are refusing to withdraw or modify the subpoena, I ask as a courtesy that you put that in writing to me by email or however it would be most convenient for you.

STOLL, GLICKMAN BELLINA"

71 Nevins Street Brooklyn, NY 11217

P: (718) 852-3710 F: (718) 852-3586

> Jihee Suh NYC Campaign Finance Board 40 Rector St. New York, NY 10006 By Fax: 212-306-7143

January 18, 2010

Re: Meryl Brodsky et al. v. New York City Campaign Finance Board

Dear Ms. Suh:

I write in reference to a subpoena allegedly executed by you on January 14, 2010 commanding the Meryl Brodsky appear in your office on January 26, 2010. The subpoena is defective in a number of ways, and we ask that, on behalf of your client, withdraw or modify the subpoena. Feel free to call to discuss our view about its defects.

Given the short period of time you have made the subpoena returnable, if you do not notify me that you will modify or withdraw the subpoena by close of business Tuesday, January 19, I will assume you are refusing to do so and will act accordingly. Also, if you are refusing to withdraw or modify the subpoena, I ask as a courtesy that you put that in writing to me by email or however it would be most convenient for you.

Thank you.

Sincerely.

Leo Glickman

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STOLL, GLICKMAN ATTORNEYS AT LAW

71 Nevins Street Brooklyn, NY 11217

P: (718) 852-3710 F: (718) 852-3586 www.stollgilckman.com

> Jihce Suh NYC Campaign Finance Board 40 Rector St. New York, NY 10006 By Fax: 212-306-7143

January 22, 2010

Re: Meryl Brodsky et al. v. New York City Campaign Finance Board

Dear Ms. Suh:

As we stated in our January 18 letter to you, your subpoena is defective in a number of ways. Since you have not taken the opportunity to discuss the defects, and refused to modify or withdraw it accept insofar as to adjust the date, we consider the "subpoena" a nullity. Therefore, my client will not be appearing at your office on January 26.

Thank you.

Sincerely,

Print

Subject: NY Post just called

From: Leo Glickman (Iglickman@stollglickman.com)

To: meryl7@verizon.net;

Date: Friday, February 19, 2010 12:20 PM

Maggie Haberman, sounds like she's doing a little story on your litigation with CFB. I just gave her some of the facts of the case.

Stoll, Glickman & Bellina LLP Campaign Finance and Election Law, Civil Rights, Criminal Defense 71 Nevins Street Brooklyn, New York 11217 718.852.0507 WWW.STOLLGLICKMAN.COM IN THE NEWS:

MIB TRADE DEADLINE

THE BACHELORETTE

HILLARY CLINTON

DONALD TRUMP

BILL COSBY

SECTIONS

SEARCH

FOLLOW

SIGN IN SHRSCRIRE

METRO

Pol fights for 'tainted' cash

By Maggio Haberman

February 22, 2010 | 5:00am

A failed Democratic City Council candidate has been waging a four-year court battle with campaign-finance officials in a bid to hang on to thousands of taxpayer dollars she allegedly handed out improperly to a top staffer after her election.

Meryl Brodsky, a Manhattan Democratic district leader who lost a four-way primary for an Upper East Side council seat in 2005, still owes more than \$11,000, from a total of \$35,000, the Campaign Finance Board says.

Brodsky came in third in the race won by Dan Garodnick and got \$55,000 in matching funds from the board.

Although she eventually repaid \$26,000, Brodsky went to court in 2007 to argue the expenses were legitimate — and that the money for her treasurer was based on the work she expected he would have to do preparing for a campaign-finance audit. She lost, and also lost her appeal of the decision.

COLUMNISTS

Barral Mila

Republicans ready to take on Cuomo in race to replace Libous

Words we'd never thought we'd say: Talk to us, Chuck Schumer!

\$11.000

Why 30 Rock is the greatest building in the world

SEE ALL COLUMNISTS

PROMOTED STORIES



10 Times Cheating Was a Truly Stupid Idea SundanceTV



Wealthfront raises \$35 million as it seeks to become the Uber of ...



We Tried Blue Apron: Here's What Happened Blue Apron on Momtastic



If At First You Don't Succeed, Tax, Tax Again? Airlines for America - A4A



The Online Furniture Retailer That's Shaking up the Industry



Why Fishermen Don't Have Bananas On Board Columbia Sportswear



Bloomberg staffer, 22, left brain dead by hit-run driver

Print

Subject: Fw: "Pol fights for 'tainted' cash" by Maggie Haberman, 2/22/2010

MERYL BRODSKY (meryl7@verizon.net) From:

maggie.haberman@nypost.com; To:

Monday, March 1, 2010 11:40 AM Date:

Hello Ms. Haberman:

Attached please find two letters, one of which I condensed. If you wish to publish it, feel free to edit and condense. Thank you.

Re: "Pol Fights for 'tainted' cash" by Maggie Haberman February 22, 2010

As a Manhattan district leader, I feel justified in highlighting the transgressions of the NYC Campaign Finance Board (CFB) through the legal process: something as important as campaign finance compliance warrants public attention. It was the self-same board members of the CFB that represented the losers, 9-0, in Lopez-Torres, a US Supreme Court case decided in January 2008. Their attempt to remove and replace the merit panel selection of judges with elections was a failure. It is the same aberrant behavior that characterizes their actions against candidates for city office, who, like judicial candidates that rightly enter a panel for review, simply stand for election; actions the CFB tries to suppress, to wit, at the public's expense. Their manipulation of the political and judicial processes should be reversed. Needless to say, my case is on appeal.

---- Forwarded Message ----

From: MERYL BRODSKY <meryl7@verizon.net>

To: letters@nypost.com

Sent: Sun, February 28, 2010 12:45:19 AM

Subject: "Pol fights for 'tainted' cash" by Maggie Haberman, 2/22/2010

Re: "Pol Fights for 'tainted' cash" by Maggie Haberman February 22, 2010

In my own behalf, I have no compunction about retaliating against the abusive, harassing and illegal tactics of the NYC Campaign Finance Board (CFB). As a Manhattan district leader, I feel justified in highlighting the transgressions of the CFB through the legal process: something as important as campaign finance compliance warrants public attention. It was the self-same board members of the CFB that represented the losers, 9-0, in Lopez-Torres, a US Supreme Court case decided in January 2008. Their attempt to remove and replace the merit panel selection of judges with elections was a failure. It is the same aberrant behavior that characterizes their actions against candidates for city office, who like judicial candidates that rightly enter a panel for review, simply stand for election; actions the CFB tries to suppress, to wit, at the public's expense. Their distortion and manipulation of both the judicial and political processes in this City should be reversed. Needless to say, my case is on appeal.

Feel free to edit and condense this letter.

Print

Subject: RE:

From: Leo Glickman (Iglickman@stollglickman.com)

To:

meryl7@verizon.net;

Date:

Friday, March 19, 2010 5:33 PM

Let's talk Monday. I received the entered order yesterday. You must appear for the deposition on April 1. I will also have to provide the information subpoena questionnaire on March 23.

Stoll, Glickman & Bellina LLE Campaign Finance and Election Law, Civil Rights, Criminal Defense 71 Nevins Street Brooklyn, New York 11217 718.852.0507 WWW.STOLLGLICKMAN.COM

From: MERYL BRODSKY [mailto:meryl7@verizon.net]

Sent: Thursday, March 18, 2010 12:03 PM

To: Leo Glickman

Subject:

Hi Leo:

What's a good time to call you on your cell? Tomorrow? When do I have to depose? Can I mention for the record, due to the lack of personal service of contempt motions and subpoenas, I am doing it under protest.

I have questions about the proceeding, etc.

Many thanks, Meryl



New York City Campaign Finance Board

40 Rector Street, New York, NY 10006 tel. 212,306,7100 fax 212,306,7143 www.nyccfb.info

Joseph P. Parkes, S. J. Chairman

Arc Chang Richard J. Davis Katheryn C. Patterson Mark S. Piazza Members

> Amy M. Loprest Executive Director

Shauna Tarshis Denkensohri Deputy Executive Director

> Sue Ellen Dodell General Counsel

By Facsimile

March 22, 2010

Leo Glickman, Esq. Stoll, Glickman & Bellina 71 Nevins Street Brooklyn, New York 11217

Re:

Brodsky et al. v. New York City Campaign Finance Board. Index No. 118316/06 (N.Y.

Sup. Ct. June 27, 2007), aff'd, 869 N.Y.S.2d 508 (1st Dep't 2008)

Dear Mr. Glickman:

I am writing to inform you that the deposition on April 1, 2010 in the above-referenced matter may be videotaped.

Please contact me at (212) 306-5261 if you have any questions.

Sincerely,

Jihee G. Suh

STOLL, GLICKMAN & BELLINA ATTORNEYS

71 Nevins Street Brooklyn, NY 11217

P: (718) 852-3710 F: (718) 852-3586

www.stollglickman.com

Jihee Suh NYC Campaign Finance Board 40 Rector St. New York, NY 10006 By Fax: 212-306-7143 March 22, 2010

Re: Meryl Brodsky et al. v. New York City Campaign Finance Board

Dear Ms. Suh:

I am in receipt of your letter dated March 22, 2010. We are concerned about the potential for misuse of the videotape. Your client has already gone to the press about this pending litigation, and we are concerned that you would want to use the videotape to embarrass or harass Ms. Brodsky.

More importantly, presumably it is your client's intention to ask Ms. Brodsky questions to assist you in identifying her assets. Obviously, any disclosure of that information beyond the parties to this litigation would pose a security issue to Ms. Brodsky.

We therefore ask that you sign a protective order that would enjoin the parties and their attorneys from disseminating the information elicited in the deposition. (See <u>Liebman and Charme v. Lanzoni</u> 624 N.Y.S.2d 752 (Civ. Ct., NY County 1995.)) Please let me know if you are agreeable to signing such a stipulation, and I will draft a proposed order.

Sincerely,

Leo Glickman



New York City Campaign Finance Board

40 Rector Street, New York, NY 10006 tel. 212.306.7100 fax 212.306.7143 www.nycefb.info

Joseph P. Parkes, S. J. Chairman

Art Chang Richard J. Davis Katheryn C. Patterson Mark S. Piazza Members

> Amy M. Loprest Executive Director

Shauna Tarshis Denkensohn Deputy Executive Director

> Sue Ellen Dodell General Counsei

By Facsimile

March 24, 2010

Leo Glickman, Esq. Stoll, Glickman & Bellina 71 Nevins Street Brooklyn, New York 11217

Re:

Brodsky et al. v. New York City Campaign Finance Board, Index No. 118316/06 (N.Y.

Sup. Ct. June 27, 2007), aff'd, 869 N.Y.S.2d 508 (1st Dep't 2008)

Dear Mr. Glickman:

I am writing in response to your letter dated March 22, 2010. We notified you that the deposition of Meryl Brodsky may be videotaped because the applicable law and rules "freely permit a party taking a deposition to record it on videotape," as noted in the case you cited.

Information relating to litigation is public, and thus your implication that the CFB's disclosure to the press was somehow improper is baseless and does not justify your proposed protective order. The absence of any justification for your request is also demonstrated by the fact that you have already provided some of Ms. Brodsky's financial information without such an order and have never previously raised your purported concerns. Those purported concerns are unwarranted because the CFB has not disseminated information about Ms. Brodsky's financial assets to the press, and because existing privacy laws already protect certain personal confidential information.

Moreover, a core part of the CFB's mandate is the disclosure of information concerning candidates' violations of campaign finance laws and rules, the assessment of penalties, repayment of public funds, and outstanding payments. As a government agency, the CFB is also subject to the New York State Freedom of Information Law (FOIL). Thus, we cannot enter into the protective order requested in your letter because it is contrary to the CFB's disclosure obligations.

Lastly, as you are well aware, Ms. Brodsky's conduct with respect to the remaining amount owed has forced the CFB to engage in efforts to ascertain information from third parties concerning her income and assets. Your proposed protective order is overbroad and would obstruct such efforts and other judgment enforcement mechanisms involving third parties.

Leo Glickman, Esq. March 24, 2010 Page 2

Accordingly, the CFB will not agree to your proposed protective order, but will agree, in the event that the deposition is videotaped, not to disseminate the videotape to a third party except to a court if necessary for judgment enforcement litigation, or if necessary to comply with a FOIL request for portions of the videotape that are not exempt from disclosure, and will note such agreement on the record at the deposition.

Please contact me at (212) 306-5261 if you have any questions or would like to discuss this matter further.

Sincerely,

Whee G. Suh

EXHIBIT C

A

SUPREME COURT OF THE STATE OF NEW YORK COUNTY OF NEW YORK Index No. 118316/06 MERYL BRODSKY, MARK FEINSOT, and ELECT MERYL BRODSKY TO CITY COUNCIL 2005, PETITIONER MERYL Petitioners, BRODSKY'S RESPONSE TO -against-RESPNDENT'S NEW YORK CITY CAMPAIGN FINANCE BOARD, INFORMATION SUBPOENA QUESTIONNAIRE Respondent. The following are my answers to the questions posed in the Respondent's "INFORMATION SUBPOENA QUESTIONNAIRE": 1. 2. 5. 10. 11.

12.

13.

d. e. 14. 15. h. 16 17. 18. 19. 20. 21.

Signed,

Meryl Brødsky

State of New York County of New York

Sworn to me, this May of January, 2010

Notary Public

LEO GLICKMAN
NOTARY PUBLIC-STATE OF NEW YORK
No. 02GL6151114
Qualified in New York County
My Commission Expires August 14, 2010



| COUNTY OF NEW YORK | X |
|---|--|
| In the Matter of the Application of | - X |
| MERYL BRODSKY, MARK FEINSOT, and ELECT MERYL BRODSKY TO CITY COUNCIL 2005, | : INFORMATION SUBPOENA : QUESTIONNAIRE : in connection with |
| Petitioners. | INFORMATION SUBPOENA |
| v . | : Mervl Brodsky |
| NEW YORK CITY CAMPAIGN FINANCE BOARD, | : (Judgment Debtor) : 150 East 61 st Street, #11K |
| Respondent/Judgment Creditor. | : New York, New York 10021 |
| | : · X |

Pursuant to the attached information subpoena, you are hereby commanded to answer in a signed, notarized writing, separately and fully, the following questions:

- 1. Is your residential address, as listed above, correct and complete? If not, please correct and/or complete the information above.
- 2. Do you rent or own the residence listed above?

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- 3. What is your telephone number?
- 4. What is your date of birth?
- 5. Are you married? If so, what is your spouse's name?
- 6. Are you employed? If so, what is your occupation, name, address, and telephone number of your employer, and what is your annual salary?
- 7. What is your gross annual income? (Gross income is salary, wages or other income, including any and all investment income, rental property income, overtime earnings, commissions, and income from trusts, before any deductions are made from such income.)
- 8. Are you self-employed? If so, what are your monthly earnings?
- Are you or your spouse owners or principals of a business or do either of you have any other connection with a business or firm? If so.

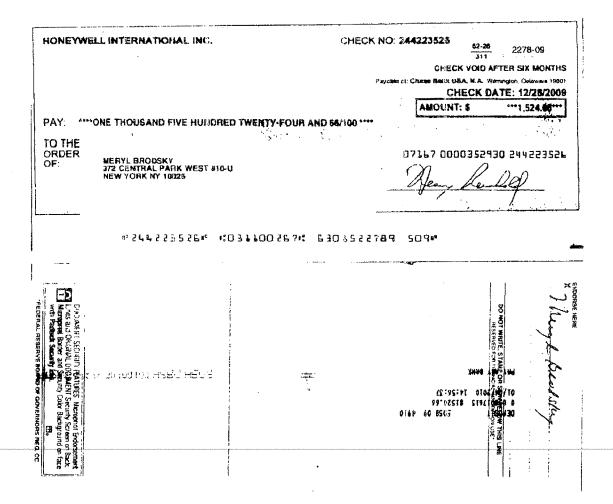
- a. What is the name of the business or firm, including any corporate or trade name? If it is a partnership, what are the names and addresses of the partners? If not a partnership, what are the names and addresses of the other investors, owners, or principals, in addition to you or your spouse?
- b. What is the address of the business or firm?
- c. What position do you or your spouse hold in the business or firm?
- d. Do you or your spouse own stock or any other form of security in the business or firm? If so, in what form is this security and what is the value of the security?
- e. What was the amount of income received by you or your spouse from the business or firm during the last twelve months?
- f. On a monthly basis, what is the average salary or income you or your spouse derive from your business or firm?
- 10. If you or your spouse owns the business or firm, list all accounts receivable due to the business or firm, stating the name, address and amount due on each receivable.
- 11. What money, other than income included in your responses to Questions No. 6, 7, 8, and 9 above, have you received in the last two years? If you earn money from any other sources, including the following, list the amount, how often, and the name and address of the source:
 - a. Alimony
 - b. Loan payments
 - c. Rental property income
 - d. Pensions
 - e. Bank accounts
- 12. Advise us of your taxable and net income by attaching to your answers a copy of the last two federal tax returns you filed, including W-2 forms and schedules.

- 13. Do you or your spouse have any bank or savings accounts? If so, please provide the following details for each account:
 - a. Bank name
 - b. Address of the bank branch where the account is located
 - c. The name(s) the accounts are in
 - d. The type of account (checking, savings, certificate of deposit, etc.)
 - é. The account number
 - f. The balance in the account as of September 2009
 - g. If applicable, the date the account was closed
- 14. Have you closed any bank account since the summons in this action was served on you? If so, please provide the name and address of the bank for each account you closed.
- 15. Do either you or your spouse have or own, any of the following?
 - a. Safe deposit boxes? If so, detail particulars, including the locations and names of the institutions maintaining the boxes.
 - b. Life insurance? If so, detail particulars, including the name and address of the carrier, the type of insurance policy, and the value of the insurance.
 - c. Stocks, bonds, or other securities? If so, detail particulars, including the types and forms of the securities, in whose names the securities are held, the value of the securities, and the amount of money you or your wife receive from the securities, including how often and the name and address of the source.
 - d. Real estate? If so, detail particulars, including the address of the property, the date the property was purchased and the purchase price, the name and address of all owners, the name and address of all tenants, and monthly rental paid by each tenant.
 - e. Unsatisfied money judgments in your or your spouse's favor? If so, detail particulars, including the amounts due, the index numbers, and the names of the courts.
 - the ls there a lien on any of the above?
 - g. Unsatisfied money judgments against you or your spouse? If so, detail

- particulars, including the amounts due, the creditors' names, the index numbers, and the names of the courts.
- h. Mortgages on real or personal property? If so, detail particulars, including the name and address of all mortgages and the balance due on all mortgages.
- 16. What is the name and address of your accountant?
- 17. Do you owe anyone money? If so, have they sued you, obtained judgment, levied execution or attachment, obtained a garnishee or court order directing you to pay installments on account of the judgment, examined you in supplementary proceedings, or had a receiver of your property appointed?
- 18. Does anyone owe you money? Have you sued them?
- 19. Are you acting as executor, administrator, trustee, receiver, guardian under a power of attorney, or in any other such capacity?
- 20. Are you under any orders for alimony, support, or maintenance?
- 21. Do you have any other assets not specifically mentioned above? If so, detail particulars, including the type of assets, and value of the assets.

False swearing or failure to comply with this information subpoena and information subpoena questionnaire is punishable by contempt of court.

HSBC Bank USA, N.A. - Image Archive



| Account Number | Date Posted | ird ind | Check Number | Amount | Sequence Number | Tran Code |
|----------------|-------------|---------|-----------------|---------|--------------------|--------------|
| | 01/04/2010 | | 0244223526 | 1524.66 | 7815014234 | 000000 |

HSBC Bank USA, N.A. - Image Archive

Account Statement Retain for Your Records

Statement Period: February 1, 2010 to February 28, 2010

Lasi Statement: January 31, 2010

Schwab One® Account Account Number: 4127-1418 Cut paper clutter. Switch to eStatements at schwab.com/paperless. Questions? Call 1-800-435-4000 Account Opened in: 2009 Page 1

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| Account Value Summary | |
|--------------------------------------|-------------|
| Cash & Sweep Money Market Funds | \$ 0.00 |
| Margin Loan Balance | \$ 0.00 |
| Investments | \$ 6,303.30 |
| Total Account Value | \$ 6,303.30 |
| Total Funds Available: Cash + Margin | |
| Available to Withdraw | \$ 1,303.00 |
| Securities Buying Power | \$ 6,518.00 |

| Change in Value Summary | |
|---|--|
| Starting Account Value Transactions & Income This Period Income Reinvested This Period Change in Value of Investments This Period | \$ 6,138.38 \$ 74.03 \$ 0.00 \$ 90.89 |
| Ending Account Value Change in Account Value Since 1/1/10 | \$ 6,303.30 \$ 348.67 |
| Rate Summary Value Adv Money Fd SWVXX Sch Investor Money Fund Margin Loan Rates vary by balance | 0.01% 0.01% 6.00% to 8.50% |

| | | Maria Company | | | |
|------------------------|----------------|------------------|---|------------|--------------|
| Investment Detail | Symbol | Quant Long/Sh | , | Price | Market Value |
| Description | | | | | |
| Investments | BA | 50 | L | \$ 63.1600 | \$ 3,158.00 |
| BOEING CO (M) | - · | 51 | L | 43.3000 | 2,208.30 |
| EXELON CORPORATION (M) | EXC | - | L | 9.3700 | 937.00 |
| XEROX CORP (M) | XRX | 100 | - | 3.0700 | |
| | Total Account | Value | | | \$ 6,303.30 |

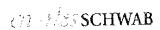
| Transaction Detail | | | | |
|--|--|------------|------------------|---------------|
| Settle Trade Date Date Transaction | Description | Quantity | Price | <u>Total</u> |
| Cash Activity 02/05 02/05 SchwabBank Trnsfr | TO INV CKG 4400-15571241 JOURNAL FUNDS | | | \$ (2,840.77) |
| Investments Activity 02/03 02/03 Received 02/05 02/02 Sold | AMER ELECTRIC PWR CO INC: AEP AMER ELECTRIC PWR CO INC: AEP | 84 (84) | \$ 34.7000 35 | \$ 2,931.01 |

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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CN2A2102-000355-351535





Account Statement Retain for Your Records

Statement Period: February 1, 2010 to February 28, 2010

Schwab One® Account Account Number: 4127-1418

| Dividends Pending | | | | |
|--|-----------------------------|---------------------------------------|--------------------|--|
| Payable Date Transaction 03/05 Qualified Dividend 03/10 Qualified Dividend | <i>Quantity</i> 50 51 | Rate Per Share 0.4200 0.5250 | Share Distribution | Cash Distribution \$ 21.00 26.78 |

Pending transactions are not included in account value.

| Income Summary Description | | This Period | Year to Date |
|----------------------------------|--------------|-------------|--------------|
| Federally Taxable Cash Dividends | | | \$ 4.25 |
| | Total Income | \$ 0.00 | \$ 4.25 |
| | | | |

(M) Denotes a marginable investment.

For information on how Schwab pays its representatives, go to http://aboutschwab.com/about/overview/compensation.html.

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Account Statement Retain for Your Records

Statement Period: December 1, 2009 to December 31, 2009

Last Statement: November 30, 2009

Schwab One® Account Account Number: 4127-1418 Cut paper clutter. Switch to eStatements at schwab.com/paperless. Questions? Call 1-800-435-4000 Account Opened in: 2009 Page 1

STEPPICKETAR OSSER SML DECISIONED GOTAGE MERYL BRODSKY
372 CENTRAL PARK W APT 10U
NEW YORK NY 10025-8208

| Account Value Summary | |
|---|---|
| Cash & Sweep Money Market Funds | \$ (90.24) \$ 0.00 |
| Margin Loan Balance | \$ 6.044.87 |
| Investments | |
| Total Account Value | \$ 5,954.63 |
| | *************************************** |
| 11 | |
| Total Funds Available: Cash + Margin | |
| Total Funds Available: Cash + Margin Available to Withdraw | \$ 954.00 \$ 6.064.00 |

| \$ 5,940.40 \$ (170.84) \$ 0.00 \$ 185.07 |
|--|
| \$ 5, 954.63 \$ 5,954.63 |
| 0.01% 0.01% 6.00% to 8.50% |
| |

| Investment Detail Description | Symbol | Quant Long/Sho | | Price | Market Value |
|-------------------------------------|---------------|-------------------|----|-------------------|--------------|
| Cash and Money Market Funds (Sweep) | | | | | \$ (90.24) |
| CASH | | | | | |
| Investments | | 50 | L | \$ 54,1300 | \$ 2,706.50 |
| BOEING CO (M) | BA | = - | 1 | 48.8700 | 2,492.37 |
| EXELON CORPORATION (M) | EXC | 51 | | · · · · · · · · · | * |
| XEROX CORP (M) | XRX | 100 | L. | 8.4600 | 846.00 |
| | Total Account | Value | | | \$ 5,954.63 |

| Transaction Detail | | | | |
|--|--|----------|-------|----------------------|
| Settle Trade Date Date Transaction | Description | Quantity | Price | Total |
| Cash Activity 12/04 12/04 Qualified Dividend 12/24 12/24 SchwabBank Trnsfr | BOEING CO: BA TO INV CKG 4400-15571241 | | • | \$ 21.00 (200.00) |
| 12/31 12/31 Funds Paid 12/31 12/31 SchwabBank Trnsfr | JOURNAL FUNDS CHECK ISSUED 6169300 TO INV CKG 4400-15571241 JOURNAL FUNDS | | | (21.00) (250.00) |

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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PNCK2102-005087-501435



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Account Statement Retain for Your Records

\$ 21.00

Schwab One® Account Account Number: 4127-1418 Statement Period: December 1, 2009 to December 31, 2009

| Transaction Detail (contin | iued) | | | |
|--|---|-----------|------------------|--------------|
| Settle Trade Date Date Transaction | Description | Quantity | Price | Total |
| Investments Activity 12/24 12/24 Received 12/30 12/24 Sold | HONEYWELL INTERNATIONAL: HON HONEYWELL INTERNATIONAL: HON | 7 (7) | \$ 39.8800 40 | \$ 267.04 |
| Income Summary | Th | is Period | | Year to Date |
| Description Federally Taxable | | | | \$ 21.00 |
| O the Obligation | | \$ 21.00 | | \$ 21.00 |

| The state of the s |
|--|

\$ 21.00

Footnotes For Your Account

Cash Dividends

For information on how Schwab pays its representatives, go to http://aboutschwab.com/about/overview/compensation.html.

Total Income

⁽M) Denotes a marginable investment.



Account Statement Retain for Your Records

Statement Period: November 1, 2009 to November 30, 2009

Last Statement: October 31, 2009

Schwab One® Account Account Number: 4127-1418 Cut paper clutter.
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Questions? Call 1-800-435-4000

Account Opened in: 2009 Page 1

0011 CNBA2101 003770 SMI TH0250008004 364820 1044 S MERYL BRODSKY 372 CENTRAL PARK W APT 10U NEW YORK NY 10025-8208 Account Of

MERYL BRODSKY

372 CENTRAL PARK W APT 10U

NEW YORK NY 10025-8208

| Account Value Summary | |
|---|------------------------------------|
| Cash & Sweep Money Market Funds Margin Loan Balance Investments | \$ 92.72 \$ 0.00 \$ 5,847.68 |
| Total Account Value | \$ 5,940.40 |
| Total Funds Available: Cash + Margin | |
| Available to Withdraw Securities Buying Power | \$ 939.72 \$ 6,086.00 |

| Change in Value Summary | |
|---|--|
| Starting Account Value Transactions & Income This Period Income Reinvested This Period Change in Value of Investments This Period | \$ 3,143.60 \$ 2,500.00 \$ 0.00 \$ 296.80 |
| Ending Account Value Change in Account Value Since 5/22/09 | \$ 5,940.40 \$ 5,940.40 |
| Rate Summary Value Adv Money Fd SWVXX Sch Investor Money Fund Margin Loan Rates vary by balance | 0.01% 0.01% 6.00% to 8.50% |

| Investment Detail Description | Symbol | Quant Long/Shi | | Pri <u>ce</u> | Market Value |
|---|---------------|-------------------|--------|-------------------|--------------------|
| Cash and Money Market Funds (Sweep) CASH | | | | | \$ 92.72 |
| Investments | BA BA | 50 | L | \$ 52.4100 | \$ 2,620.50 |
| BOEING CO (M) EXELON CORPORATION (M) XEROX CORP (M) | EXC XRX | 51 100 | L L | 48.1800 7.7000 | 2,457.18 770.00 |
| XENDA COTTO (M) | Total Account | t Value | | | \$ 5,940.40 |

| Transaction Detail | | | | <u> </u> |
|---|----------------|----------|-------|-------------|
| Settle Trade Date Date Transaction | Description | Quantity | Price | Total |
| Cash Activity 11/09 11/09 Funds Received | FUNDS RECEIVED | | | \$ 2,500.00 |

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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 CNB42100 000770 064820



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CHWAB

Account Statement Retain for Your Records

Schwab One® Account Account Number: 4127-1418 Statement Period: November 1, 2009 to November 30, 2009

| Transaction Detail (conf | tinued) | | | | |
|---|--------------------------|----------------|------------------------------------|--------------------|---------------------------|
| Settle Trade | Description | | Quantity | Price | Tota |
| Date Date Transaction nvestments Activity | EXELON CORPORATION: EXC | | 51 | \$ 46.9790 | \$ (2,408.88 |
| Dividends Pending | | | | | |
| 7616 | Description BOEING CO | Quantity 50 | Rate <u>Per Share</u> 0.4200 | Share Distribution | Cash Distribution \$ 21.0 |
| Pending transactions are not in | cluded in account value | | | | |

Footnotes For Your Account

(M) Denotes a marginable investment.

For information on how Schwab pays its representatives, go to http://aboutschwab.com/about/overview/compensation.html.

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Account Statement
Retain for Your Records

Statement Period: October 1, 2009 to October 31, 2009

Last Statement: September 30, 2009

Schwab One® Account Account Number: 4127-1418 Cut paper clutter. Switch to eStatements at schwab.com/paperless. Questions? Call 1-800-435-4000 Account Opened in: 2009 Page 1

30 TO CHAACTOS BOORGE SML TOOTSBEEFOOK GARGER 'S MERYL BRODSKY 372 CENTRAL PARK W APT 10U NEW YORK NY 10025-8208

| Account Value Summary | / |
|---|-----------------------------------|
| Cash & Sweep Money Market Funds Margin Loan Balance Investments | \$ 1.60 \$ 0.00 \$ 3,142.00 |
| Total Account Value | \$ 3,143.60 |

| Total Funds Available: | Cash + Margin | |
|--|---------------|--------------------|
| Available to Withdraw Securities Buying Power | | \$ 1.60 \$ 1.60 |

| Change in Value Summary | |
|---|--|
| Starting Account Value Transactions & Income This Period Income Reinvested This Period Change in Value of Investments This Period | \$ 3,969.60 \$ (533.40) \$ 0.00 \$ (292.60) |
| Ending Account Value Change in Account Value Since 5/22/09 | \$ 3,143.60 \$ 3.143.60 |
| Rate Summary Value Adv Money Fd SWVXX Sch Investor Money Fund Margin Loan Rates vary by balance | 0.01% 0.01% 6.00% to 8.50% |

| Investment Detail | Symbol | Quant Lana/Shi | | Price | Market Value |
|--|---------------|-------------------|--------|----------------------|-----------------------|
| Description Cash and Money Market Funds (Sweep) CASH | 3717333 | | | | \$ 1.60 |
| Investments BOEING GO (M) XEROX CORP (M) | BA XRX | 50 100 | L L | \$ 47.8000 7.5200 | \$ 2,390.00 752.00 |
| ALTOX GOTH (W) | Total Account | Value | | | \$ 3,143.60 |

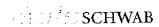
| Transaction Detail | | | | |
|--|--|----------|-------|-----------------------|
| Settle Trade Date Date Transaction | Description | Ouantity | Price | <u> Total</u> |
| Cash Activity 10/01 10/01 Funds Received | CASHIERS CHECK JOURNAL FRM 99979116 | | | \$ 3,200.00 105.00 |

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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ONAA2102-003935-348678





Account Statement
Retain for Your Records

Schwab One® Account Account Number: 4127-1418 Statement Period: October 1, 2009 to October 31, 2009

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| Transaction Detail (contin | ued) | | | |
|---|---|--------------------|---------------------------------|---------------------------|
| Settle Trade Date Date Transaction | <u>Description</u> | Quantity | Price | Total |
| Investments Activity 10/02 10/02 Delivered 10/07 10/02 Bought 10/07 10/02 Bought | EXELON CORPORATION: EXC BOEING CO: BA XEROX CORP: XRX | (80) 50 100 | \$ 47.9800 51.0300 7.2600 | \$ (2,564.45) (738.95) |

Footnotes For Your Account

For information on how Schwab pays its representatives, go to http://aboutschwab.com/about/overview/compensation.html.

⁽M) Denotes a marginable investment.

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Account Statement
Retain for Your Records

Statement Period: August 1, 2009 to September 30, 2009

Last Statement: July 31, 2009

Schwab One® Account Account Number: 4127-1418 Cut paper clutter.
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Questions? Call 1-800-435-4000

Account Opened in: 2009 Page 1

30.69-PN8K23D308666 Std. 100298298094 596229 13.4 MERYL BRODSKY 372 CENTRAL PARK W APT 10U NEW YORK NY 10025-8208

| Account Value Summary | |
|---|-----------------------------------|
| Cash & Sweep Money Market Funds Margin Loan Balance Investments | \$ 0.00 \$ 0.00 \$ 3,969.60 |
| Total Account Value | \$ 3,969.60 |
| Total Funds Available: Cash + Margin | |
| Available to Withdraw Securities Buying Power | \$ 0.00 \$ 0.00 |

| Change in Value Summary | |
|---|--|
| Starting Account Value Transactions & Income This Period Income Reinvested This Period Change in Value of Investments This Period | \$ 0.00 \$ 4,358.79 \$ 0.00 \$ (389.19) |
| Ending Account Value Change in Account Value Since 5/22/09 | \$ 3,969.60 \$ 3,969.60 |
| Rate Summary | 0.010/ |
| Value Adv Money Fd SWVXX Sch Investor Money Fund Margin Loan Rates vary by balance | 0.01% 0.01% 6.00% to 8.50% |

| | Awar | | ***** | | |
|------------------------------------|---------------|-------------------|-------|------------|--------------|
| Investment Detail Description | Symbol | Quant Long/Sho | | Price | Market Value |
| Investments EXELON CORPORATION (M) | EXC | 80 | L | \$ 49.6200 | \$ 3,969.60 |
| | Total Account | Value | | | \$ 3,969.60 |

| Transaction Detail | | | | |
|--|---|--------------------|-----------------------------------|---------------------------|
| Settle Trade Date Date Transaction | Description | Quantity | Price | Total |
| Cash Activity 09/17 09/17 Funds Paid 09/28 09/28 Funds Received | CLIENT REQUEST #3113524 FUNDS RECEIVED | | | \$ (5,033.96) 4,092.95 |
| Investments Activity 09/16 09/11 Sold 09/16 09/11 Sold 09/16 09/16 Received | DU PONT E I DE NEMOUR&CO: DD DU PONT E I DE NEMOUR&CO: DD AMER ELECTRIC PWR CO INC: AEP | (78) (22) 60 | \$ 32 32 31.8300 33.9000 | \$ 2,485.83 701.13 |
| 09/16 09/16 Received 09/17 09/14 Sold 09/28 09/23 Bought | DU PONT E I DE NEMOUR&CO: DD AMER ELECTRIC PWR CO INC: AEP EXELON CORPORATION: EXC | 100 (60) 80 | 31 51 | 1.847.00 (4,092.95) |

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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PN6K2102-005466-692221



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CALLY SCSCHWAB

Account Statement
Retain for Your Records

Schwab One® Account Account Number: 4127-1418 Statement Period: August 1, 2009 to September 30, 2009

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Footnotes For Your Account

(M) Denotes a marginable investment.

For information on how Schwab pays its representatives, go to http://aboutschwab.com/about/overview/compensation.html.

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charles SCHWAB

MERYL BRODSKY

440015571241 Account Number

January 30, 2010 to February 26, 2010 Statement Period

Customer Service Information

Call Toll Free: (877) 824-5625

Send Written Inquiries to:

Charles Schwab Bank

Orlando, FL 32862-9925 Charles Schwab Bank Send Deposits to: P.O. Box 628291

Greenwood Village, CO 80155-4987 P.O. Box 4987

Schwab Bank News

A brokerage message from Charles Schwab & Co., Inc.

If's not too early to make a tax-smart move. Oper or contribute to a Schwab IRA before the April 15 deadline for 2009 IRA contributions. Find out more on page 2

your records. If you have any questions, please contact Schwab Bank Customer. Service at 1-888-403-9000. Conditions are disclosed starting on page 3. Please review and retain for Please Read: Important changes to your Schwab Bank Deposit Account Agreement and the Schwab Bank Flectronic Funds Transfer Terms and

We've added a new section to your statement

We've created a new section called. Nonsufficient Funds Fee. This way you can see your overdraft lees separately from your returned items fees from a period. and year to date perspective.

If you have any questions, please contact Schwab Bank Customer Service at 1-888-403-9000. We're available 8:30 a.m. to 11:00 p.m. ET. Mondey through Friday, and 9:00 a.m. to 10:30 p.m. ET on weekends. (0310-0787)

Page 1 of 8

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MEM AOBK NA 10052-8508 372 CENTRAL PARK W APT 10U WEBAL BRODSKY

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Page 2 of

Charles SCHWAB

January 30, 2010 to February 26, 2010

440015571241 Account Number

Statement Period

MERYI, BRODSKY

Schwab Bank News (continued)

A brokerage message from Charles Schwab and Co., Inc.

Take the easy way out with a smart, easy Schwab IRA.

Schwab IRA has low minimums and ne account service fees 1--plus, you have it's not just easy to open an IRA at Schwab. It's also smart, because a access to helpful guidance when you need it.

S1.000 minimum if you set up a monthly transfer of at least \$100--a convenient Opening an IRA at Schwab com only takes about 15 minutes. We'll waive the way to automatically pay yourself first.

investment professional at 1-888-774-3759for personal assistance. They'll help you understand your IRA options and even walk you through opening o open an IRA today, go to schwab.com/openIRA or talk to a Schwab your account.

contribute. If you'd like to transfer an IRA to Schwab, we can walk you through Already have a Schwab IRA? Visit schwab.com/fundIRA and log in to every step along the way.

a iditional fee information. Once the account is open, account approval and functing 1 Other fees may apply. Please refer to the Charles Schwab Pricing Guide for in ust be completed before any trading can begin. Brokerage Products · Not FDIC-Insured · No Bank Guarantee · May Lose Value

Companies and subsidiaries of The Charles Schwab Corporation. Brokerage products Charles Schwab & Co., Inc. and Charles Schwab Bank are separate but affiliated and services, including IRA accounts, are offered by Charles Schwab & Co., Inc., Member SIPC. Deposit and lending products and services are offered by Charles Schwab Bank, Member FDIC and an Equal Housing Lender. 10310-0564)

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Charles SCHWAB

MEHYL BRODSKY

440015571241 Account Number

January 30, 2010 to February 26, 2010 Statement Period

Schwab Bank News (continued)

Amendment to the Schwab Bank Deposit Account Agreement

Section 2.5: Use of Account

The following new section has been added:

we. applicable law. If we are uncertain regarding the legality of any transaction, gambling transactions, or to conduct any other activity, that would violate You may not use your account or any account-related service to process may refuse the transaction or freeze the amount in question while we investigate the matter.

generally be available no later than the seventh Business Day after the day of

We will notify you if we delay your ability to withdraw lunds for any of these

reasons, and we will tell you when the funds will be available. They will

There is an emergency, such as failure of computer or communications

equipment.

Section 6.1: Longer Defays May Apply

This change was effective February 27, 2010.

This section has been replaced in its entirety with the following:

unlifthe second Business Day after the day of your deposit. However, the first \$100 of your deposits will be available on the first Business Day. Depending on the type of check that you deposit, funds may not be available In some cases, we will not make all of the funds that you deposit by check available to you on the first Business Day after the day of your deposit.

item, we may charge you a Nonsufficient Funds Fee. The Nonsufficient Funds Fee that applies to your account is described in the Pricing Guide.

transaction), we may, at our sole discretion and without notice to you, either

return the item unpaid or pay it and overdraw your account. If we return the

When you do not have enough Available Funds in your account or sufficient

This section has been replaced in its entirety with the following:

Section 7.1: Nonsufficient Funds

/our deposit

Overdraft Protection to cover a check or other transaction (e.g., in person

withdrawal, ATM withdrawal, automatic payment, or other electronic

deposits of benefit payments such as Social Security payments, and any funds

that become available in your accounts to pay your negative batance. Each account holder is jointly and severally responsible for paying any overdrafts

us the amount of the overdraft without notice or demand from us. You agree

that we may apply any subsequent deposits to your account, including

If we overdraw your account to pay an item, you agree to immediately repay

created by authorized signer(s) or a party to your account, whether or not they

participate in the transaction or benefit from its proceeds.

If we are not going to make all of the funds from your deposit available on the will also tell you when the funds will be available. If your deposit is not made first Business Day, we will notify you at the time you make your deposit. We directly to one of our employees, or if we decide to take this action after you have left the premises, we will either mail the notice to you, or send you the notice electronically by the Business Day after we receive your deposit.

tt you wilt need the funds from a deposit right away, you should ask us when the funds will be available.

Ir addition, funds you deposit by check may be delayed for a longer period under the following circumstances;

• We believe a check you deposit will not be paid.

- You deposit checks totaling more than \$5,000 on any one day.
 - You redeposit a check that has been returned unpaid.
- You have overdrawn your account repeatedly in the last six months.

notifying you.

are not obligated to continue paying any future nonsufficient funds item without If we pay items by overdrawing your account on one or more occasions, we

Section 9.3: Originating Transfers

This change is effective April 1, 2010.

The following paragraph has been added;

Page 3 of

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MERYL BRODSKY

January 30, 2010 to February 26, 2010 Statement Period

440015571241 Account Number

Amendments to the Schwab Bank Electronic Funds Transfer Ferms and Conditions

Section 5: Minimum and Maximum Transfer Amounts

This section has been replaced with the following:

The minimum transfer amount for the Service is \$1. The maximum amount per the transfer limit, you may not transfer more than the amount of your Available \$100,000 into and \$100.000 out of your account. Regardless of the amount of transfer allowed by Schwab Bank is \$100,000. The daily transfer limit is

Section 6: On-Request Transfers

The cut-off time to request transfers into or out of your Schwab Bank account has changed from 7:00 p.m. eastern time to 5:30 p.m. eastern time.

(0310-0944)

The following changes are effective April 1, 2010.

per transfer is \$1,000,000. There are no minimum and maximum limitations accounts, the minimum transfer amount is \$1,00 and the maximum amount

you set up your Iransfer by calling Customer Service.

When using Schwab.com to transfer funds between your Schwab Bank

Schwab Bank News (continued)

he second paragraph, the second sentence is replaced with the following: The Timing of Transfer Requests portion of this section has been revised.

for all other transfers, you must have Available Funds on deposit in your account two Business Days in advance of the Transfer Completion Date.

A separate form will be required for each account you want to set up for electronic funds transfers,

You will be charged a Late Payment Fee (see Pricing Guide) if payment is not received within two Business Days after the due date.

Section B. Agreement to Pay. Making Payments, has been revised. In the

second paragraph, the last sentence is replaced with the following:

Section 17: Overdraft Credit Line and Truth-in-Lending Disclosure

C 2008 Onalies Schwab Bank, Allignis eserved Member F010 Casharandonan eyemb

Page 4 of 8

| Page 5 of 8 | | | 2864A127 319945 - \$18238 5 277 4 Charles Shenas Baller Anniet Shenas Shenas |
|--|--|--|---|
| \$2,335.82 | | \$202.00 | ATM Withdrawal BANK OF AMERICA 1967H & MADISON NEW YORK, NY. US |
| \$2,537,82 | | \$303.00 | BANK OF AMERICA 'BROADWAY' & 97TH STREET NEW YORK, NY, US |
| \$2,840.82 | \$2,840.77 | | Funds Transfer from Brokerage -1418 |
| \$0.05 | | The state of the s | Beginning Balance |
| | i de de la constante de la con | Debits | Description |
| | | | |
| \$0.00 | \$0.00 | | Total Returned tem Fecs |
| 80.00 | \$0.00 | All commences of a property of the commences of the comme | ligial Overdraff Fees |
| \$141.46 Year to Date | This Period | idT | Ending Balance Nonsulficient Funds Fees |
| 0.00 | | | 202 |
| (2.715.00) | | | O'har Faas |
| 0.64 | | | Withdrawals and Other Debits |
| 2.855.77 | | | Injerest Dand |
| \$0.05 | | | Denosite and Cradite |
| Amount | | The second secon | Bacionina Dalamos |
| Account Number: 440015571241 | Account Nur | | High Yield Investor Checking ® |
| Statement Period January 30, 2010 to February 26, 2010 | | Account Number 440015571241 | (77CFTCS SCHWAB BANK MERYL BRODSKY |
| | .6000:38490304. | | |
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| cha | <i>Chuilc</i> i SCHWA B Bank | /AB | | Account Number 440015571241 | Statement Period January 30, 2010 to February 26, 2010 | _ |
|-------------------|---|---|------------|--------------------------------|--|------------|
| High Activity | High Yield Investo | High Yield Investor Checking (continued) Activity (continued) | | | Account Number: 440015571241 | 71241 |
| Date Posted | Description | | | Debits | Credits | Balance |
| 02 18 | | \simeq | | \$503.00 | € | \$1.832.82 |
| 02 18 | ATM Withdrawal HSBC 739 AMS | FERDAN | | \$303.00 | . | \$1,529.82 |
| 02 :9 | ATM Withdrawal BANCO POPULA US | ATM Withdrawat BANCO POPULAR COLUMBUS AVENUE NEW YORK, NY. US | | \$1,002.00 | | \$527.82 |
| 02 20 | ATM Withdrawal BANCO POPULA US | ATM Wilhdrawal BANCO POPULAR COLUMBUS AVENUE NEW YORK, NY. US | | \$402.00 | <i>ਚਾਂ</i> | \$125.82 |
| 02.26 | ATM Fee Rebate | | | | \$15.00 | \$140.82 |
| 32.26 | Interest Paid | | | | \$0.54 | \$141.46 |
| 02/26 Interest | 02/26 Ending Balance Interest Earned | 100 | | | <i>ज</i> | \$141.46 |
| interest Earned | Earned | 01:30/2010 to 28 o | 28 day(s) | Annual Percentage Yield Earned | | 0.59% |
| Average | Average Daily Balance | 7.18 | \$1.406.43 | Interest Earned this Period | CONTRACTOR OF THE CONTRACTOR O | \$0.64 |
| interest | interest Rate as of | 02:26:2010 | 0.59% | Interest Paid Year to Date | | \$0.66 |

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LIBGAN PROTRALI FRAÇA FIZOGE Dinanes Somwab Bank Afrighis (esseveo, Member FD).Q

Page 6 of 8

List outstanding checks, wither awais and other debits that do not appear on this statement January 30, 2010 to February 26, 2010 Statement Period 440015571241 Account Number . СФ ⇔ ₩, ₩, 44 Amount Total \$ YOUR CURRENT CHECKBOOK BALANCE = This Statement's Ending Balance SUBTOTAL = Subtract Checks-Other Debits Not Listed on This Statement (Total Step 2) -Add Deposits Credits Not Yet Credited on This Statement (Total Step 1) + Step 2 Date THIS WORKSHEET IS PROVIDED TO ASSIST YOU IN BALANCING YOUR CHECKBOOK List all deposits and other credits that denot appear on this statement MERYL BRODSKY FOR YOUR CHECKING ACCOUNT ONLY IMPORTANT DEPOSIT ACCOUNT INFORMATION Total \$ Amount Charles SCHWAB Date

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Page 7 of 8

write us at the phone number or the address shown on the trst page of this statement as soon as remoter on the first page of this statement to find out whether or not me deposit has been made. gan if you think your statement or receipt is wing or if you need more information about a ienstionde every 60 days from the same person orbompany. You can call us at the telephone in Case of Errors or Questions About Your Electronic Fund Transfers - Telephone us or transier on the statement or receipt. We must hear from you no later than 60 days after we sentyouthe FIRST statement on which the error or problem appeared.

Describe the error or transfer you are unsure about. Explain as clearly as you can why you believe the use of the money during the time it takes us to complete our investigation. there is an error or why you need more information. Tell us the dollar amount of the suspected error.

1. Tell us your name and account number (if any)

Electronic Transfers - If you have arranged to have direct deposits made to your account at

We will investigate your complaint and correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have

Fig0th Charles Schwab Bank, Aliquis reserved Member Fig. 3 CREW 07 (17% 4 944)

CHUITES SCHWAB
BANK

MERYL BRODSKY

Account Number 440015571241

Statement Period January 30, 2010 to February 25, 2010

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INTEREST CHECKING Statement of Account Account Number 058-02090-0

January 8, 2009 - February 6, 2009 Page 1 of 2

MERYL BRODSKY 10-00058R CAROL BRODSKY MAIL RETURN 01-08 RETURN MAIL UNIT

Questions? Call 800-975-4722 or write: HSBC P.O. Box 9 Buffalo, New York 14240

SUMMARY OF ACTIVITY FOR THE PERIOD | 01 08 09 | TO | 02 06 09

DATE OF LAST STATEMENT WAS - 01-07-09

TRANSACTION DETAIL

| DATE POSTED | DESCRIPTION OF TRANSACTIONS | CHECKS AND OTHER SUBTRACTIONS | DEPOSITS AND OTHER ADDITIONS | BALANCE |
|----------------|--|-------------------------------------|------------------------------------|---------|
| 01-20-09 | ONLINE TRANSFER FROM CHECKING 90958917615 ON 91/18 | | 500.00 | 637.13 |
| | REFERENCE NO: IB892343 | 7.7.00 | | |
| | CHECK #0595 | 254.09 | <i>'</i> | 7/7/1/ |
| | *CHECK #0592 | 20.00 | | 363.04 |
| 01, 28 09 | ONLINE TRANSFER FROM CHECKING 00058017615 ON 01/28 | ļ . | 400.00 | 763.04 |
| | REFERENCE NO: IB840417 | į | 1 | |
| 01:30:09 | MONTHLY IMAGE CANCELLED CHECK RETURN FEE | 2.00 | | |
| 01/30/09 | SERVICE CHC* BASED ON \$293 COMBINED BALANCE. | 12.00 | | |
| | THE FOLLOWING BALANCES WERE INCLUDED BUT ARE BELOW THE | | ; | |
| | REQUIREMENTS NECESSARY TO AVOID THIS CHARGE, THEY ARE: | | • | |
| | 80.00 OVITSTANDING CREDIT BALANUE | | | |
| | \$293.92 AVERAGE DEPOSIT BALANCE | 1 | • | |
| | *- \$12,00 MAINTENANCE FEE | | | |
| 01:30:09 | DEBIT MASTERCARD - MONTHLY SERVICE CHARGE | 1.00 | i | |
| 01-30-09 | INTEREST EARNED AND PAID FROM 04/01/09 | | .01 | 748.05 |
| | THROUGH 01/30/09 INCLUSIVE | | | |
| | AVERAGE DAILY AVAILABLE BALANCE \$314.72 | 1 | | |
| | ANNUAL PERCENTAGE YIELD EARNED 0.04% | | 1 | |
| 02:03:09 | CHECK #0596 | 35,00 | | 713.05 |
| | | | ; | |

ITEMS PAID ON THIS STATEMENT:

NUMBERED CHECKS:

* GAP IN PAID CHECK SEQUENCE

OTHER ITEMS:

2.00 12.00 1.00

Please examine your statement at once. For your convenience, instructions for butaneing your account are included.

If you change your address, please notify your hranch office of your new address. All deposited terms are credited subject to find payment.

HSBC Bank USA, N.A. - Image Archive

MERYL BRODSKY
CAROL BRODSKY
150 East 61 St Street
Apt No. 11k
New York, NY 10021

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HSBC
New York, NY 10025

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| 00000000058020900 | 09/28/2009 | | 0000000612 | 4092.95 | 7878671 <u>602</u> | 000612 |

Item 7 of 9

HSBC Bank USA, N.A. - Image Archive

| MERYL BRODSKY | ų ' <u>t</u> - | chanes SCHWAB | 1001 |
|---|----------------|-------------------|---------------------|
| 372 CENTRAL PARK W. AFT. 101 NEW YORK, NY 10025-8208 | . | Decamber 28, Zoo. | 94-221/1212 3500 |
| Pay to the Mery Order of Her | Brids | Mes & For Doll | D (1) |
| Charles Schwab Bank Reno, Nevada | | High Yield Invest | or Checking |
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| Account Number | Date Posted | Ird Ind | Check Number | Amount | Sequence Number | Tran Code |
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| | 12/28/2009 | | 0000001001 | 200.00 | 7816431608 | 001001 |

Item 2 of 2

| Charles SCHWAB 1002 BANK Lama 4 2010 3500 | CACISK J& 250 FC | The Condition |
|--|---|---|
| MERVL BRODSKY 372 CENTRAL PARK W. APT. 10U NEW YORK, NY 10025-8208 | Day to the Order of Order of Charles Schwab Bank Reno, Neveda | FOT TO T |

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| Charles SCHWAB BANK BANK Thurst 19 26 0 3500 3500 | 2 Drichery & Level 18 Kell 12 | High Yield Investor Checking | |
|--|--|--------------------------------------|--|
| MERVL BRODSKY 372 CENTRAL PARK W. APT. 10U NEW YORK, NY 10025-8208 | Pay to the JULLY & Order of There was to | Charles Schwalb Bank Reno, Nevada | |

| For Deposit |
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| HODO AND | DEPOSIT CHECKING A | SAVINGS | [_] |
|---|-------------------------------|---------|------------------------------|
| HSBC ∢ I▶ | PLEASE ENDORSE EACH CHECK | DOLLARS | CENTS |
| 21-1- | CASH | 100 | 00 |
| OFFICE DATE 4 25 09 | COIN | | |
| DEPOSIT FOR THE ACCOUNT OF V | CHECKS AS FOLLOWS ON: | 4000 | 00 |
| 11 cml BLODSKY | | , | |
| | | | |
| | TOTAL | 3000 | 00 |
| MY ACCOUNT NI MIBIT IS ON 10 001 (2) 0 9 0 001 (2) | For Bank Use Only | | |
| HSBC | NO NOT WRITE OLITOW THIS TINE | | F (Res. 6.06) PS # 084843 |
| CS OB2 D | 900° 5°000 | 00 5000 | 00", |
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Item 1 of 9



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|------------------------------------|--|---|---|--|--|--|--|--------------------------------|-----------------------------|
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| bel | | | | | | | 330 | | |
| instructions.) | MERYL | BRODSKY eturn, spouse's list nanco | MI Last | name | | | Spor | rea's social s | security rumbs |
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| ling Status | 2 | Married filling jointly (ever | if only one had incom | 18) | hut not vour | dependent | , enter thi | s child's | |
| | 3 | Married filing separately. | Entar spouse's SSN ab | ove & full | name here | • | | | |
| eck only | 3 | name here * | | 5 | Qualifying wide | w(er) with dep | endent child | i (see instruc | ctions) |
| е вох. | | | alaim vau a | c a denegrient do u | ot check box | 6a | T_ | Boxes ch | ocked 16b |
| cemptions | 6a | Yourself. It someon | в сап свани уси а | s a dependent, eu i | | | | No. of ch | |
| | p. | Spouse | <u> </u> | (2) Dependent's | 1 (3) Debe | ndent's | (4) √ i! | on 6c who lived | 3: |
| | c | Dependents: | | social security | relatio | nship | qualifying child for chi | ld with you. | |
| | | | 1 | number | to y | ·pu | tax credit (see instra | ■ did no* | |
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| ur dependents, se instructions. | | | | | <u> </u> | | | — Add num — on lines | bers |
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| ncome | Q | Tayable interest Attach | Schedule B if red | guired | | | | laj | |
| | h- | Pay-exempt interest Dr | not include on lit | ne 8a | 1 89 | | 800 | | _ |
| Hank Commen | 9a | Ordinary dividends. Atta | ich Schedule B if | required | | | **** | 9a | |
| llach Form(s) -2 here. Also | 1_ | Augilian dividende Less instru | 1 | | 9b) | | | A | |
| tach Forms | 10 | Tayable refunds, credits, or of | fsets of state and loca | l income taxes (see instru | ctions) | | | | |
| -2G and 1099-R tax was withheld. | | Atimony raceisted | | and the second s | فيكت فالمحتج بالعالية بالمسجد والمساور | | 17 | | ····· |
| | 12 | Business income or (los | ss). Attach Sched | ule C or C-EZ | | ▶ □ | 13 | | |
| you did not at a W-2. | 13 | Capital gain or (loss). Att Sch | Diff read, If not read, | ck here | | | 14 | | |
| e instructions. | 14 | Other gains or (losses). | Attach Form 479 | 7 | laxable amou | int (caa ins | Dominion | 56 | |
| | 15 a | IRA distributions | 15a | | raxable amoi Faxable amoi | | | 5 b | |
| | 16a | Pensions and annuities | 16a] | | | | | | |
| * | 17 | Rental real estate, roya | Ities, partnerships | s, 5 corporations, at | isis, eic. Aite | CII OCIIOZGI | 1 | | |
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| ease use | | Social security benefits | 20a | | LAXADIO GILIO | 3111 (350 1110 | 2 | | |
| orm 1040-V. | | Other income Add the amounts in the | | for liene 7 through 2 | 1 This is you | r total inco | | | |
| | 22 | Add the amounts in the | rar right column | ior intes / through a | 23 | | 200 | | |
| Adjusted | 23 24 | Educator expenses (se Certain business expenses of | receivists performing | artists, and fee-basis | | | | | |
| aross | 4.7 | government officials. Attach i | -orm 2106 or 2106-62 | | 24 | | | | |
| ncome | 25 | Health savings account | deduction. Attacl | h Form 8889 | . 25 | | | | |
| | 26 | Moving expenses. Atta | ch Form 3903 | | 26 | | | | |
| | 27 | One-half of self-employ | ment tax. Attach | Schedule SE | 27 | | ••••· | | |
| | 28 | Self-employed SEP, S | | | | | | | |
| | 29 Self-employed health insurance deduction (see instructions) | | | | | | | | |
| | 30 | Penalty on early withde | awal of savings | | 30 | | | | |
| | 31 a | Alimony paid b Recipient's | SSN ► | | . 31a | | | | |
| | 32 | IRA deduction (see ins | tructions) | | . 32 | | | | |
| | 33 | Student loan interest of | eduction (see ins | tructions) | . 33 | | | | |
| | 34 | Tuition and fees deduc | tion, Attach Form | 8917 | 34 | | | | |
| | 35 | Domestic production activities | es deduction. Attach Fo | тт 8903 | . 35 | | | 36 | |
| | 36 | Add lines 23 - 31a and 32 - Subtract line 36 from I | 35 | | | | | 90 37 | 7 |

Case 1:17-cv-03186-AJN-JLC Document 16 Filed 08/29/17 Page 96 of 100

| • | | Page 2 |
|-------------------------------------|--|--|
| form 1040 (2008) | MERYL BRODSKY | 38 |
| | is severed from tine 37 (adjusted gross income) | |
| Tax and | The Chart Valuers born before January C. 1977 | |
| Credits | to the before lanuary 2 1944. DINU. Chacken | |
| | and the state of t | - · · · |
| Standard | | |
| Deduction | | 40 |
| for | | 41 |
| People who checked any box | 41 Subtract line 40 from line 38. 42 If line 38 is over \$119,975, or you growled housing to a Midwestern displaced individual, see instructions. | 42 |
| on line 39a, 39b. | 42 If line 38 is over \$119,975, or you provided housing to a Midwestern displaced individual, 300 Otherwise, multiply \$3,500 by the total number of exemptions claimed on line 6d. | 44. |
| or 39c or who | | 43 |
| can be claimed as a dependent, | If line 42 is more than line 41, enter -U- | _ |
| see instructions. | 44 Tax (see instrs). Check if any tax is from: a Fronti(s) 6814 | 0. |
| All others: | 6 1 1 POMI 497Z | 45 0. |
| | 45 Alternative minimum tax (see instructions). Altach Form 6251 | ► 46 0. |
| Single or Married | an and then AA and A5 | |
| filing separately, \$5,450 | 47 Foreign tax credit. Attach Form 1116 if required | <u> </u> |
| \$3,430 | and Countit for child and dependent care expenses, Attach Form 2441 | — [[[]] |
| Married filing | the disabled Attach Schedule R 45 | |
| jointly or | I an Education and the Ottoch Form 8863 | |
| Qualifying widow(er), | 1 | |
| \$10,900 | 1 September 1 Strang Corps (SQL) II (PONITRO) | |
| Head of | 52 Child lax credit (see instructions). Alexand but over the see that | |
| household, | 1 54 (780) S I Old Form. 6 1 sees | |
| \$8,000 | | 55 |
| | 54 Other crs from Form: a 3800 b 3800 b 55 Add lines 47 through 54. These are your total credits. | . ▶ 56 0. |
| | 55 Add lines 47 through 54. Thisse are your total around 155 Subtract line 55 from line 46. If line 55 is more than line 46, enter -0- | 57 |
| | | |
| Other | 57 Self-employment tax. Attach Schedule SE. 58 Unreported social security and Medicare tax from Form: a 4137 b 8919. | 59 |
| Taxes | 59 Additional lax on IRAS, other qualified retilement plans, other retilement plans, | 60 |
| IDACO | TAFIC astronate Pt 1 1500558010 GHD0411641 CANADA I CANAD | |
| | CT Add lines SC SD. This is your total tax | |
| de | Coderal income tax withheld from Forms W-Z and 1099 | |
| Payments | 53 2008 estimated tax payments and amount applied from 2007 return | |
| If you have a | 64a Earned income credit (EfG) | |
| qualifying child, attach | 4 Alexandra compation 546 | |
| Schedule EIC. | CE Evance popular sequity and tier I RRTA tax withheld (see instructions) | |
| | cc. Additional child tay credit. Attach Form 8816 | |
| | to the said with request for extension to file (see instructions) | |
| | GR Credits from Form: 3 (2439 B) 4130 C | |
| | 69 First-time homebuyer credit. Attach Form 5405 | * |
| | 70 Recovery rehate credit (see worksheet) | |
| | 70 Thoras are your total navyments | 71 |
| | the Carry Carry Line Ca subtreet line Ca from line / Link is the amount you overpaid. | |
| Refund | 73. Amount of line 72 you want refunded to you. It Form 8888 is attached, theck hard- | 73a |
| Direct deposit? See instructions | ➤ b Routing number | rings |
| and fill in 73b, | ► d Account number. | |
| 73c, and 73d or | 74 Amount of line 72 you want applied to your 2009 estimated tax | |
| Form 8888. | The state of the s | 75 |
| Amount You Owe | ma must be described from inclinations) | |
| 100 OKC | Do you want to allow another person to discuss this return with the IRS (see instructions)? | s. Complete the following. No |
| Third Party | Oisoppie | Personal identification number (PIN) |
| Designee | > PARALEI | to the best of my knowledge and |
| Sign | Under penalties of perjury. I declare that I have examined this return and accompanying schedules and statements, and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of | |
| Here | Date 1 con occupano | Daytime phone number |
| Joint return? | Your signature RESEARCH | |
| See instructions | | |
| Кеер а сору | Spouse's signature. If a joint return, both must sign. Date Spouse's occupation | |
| for your records | Date | Preparer's SSN or PTIN |
| | 1 n 1 n 2 1 n 1 n 1 n 1 n 1 n 1 n 1 n 1 | (V) |
| Paid | signature Purity L I II | |
| Preparer's | Firm's name Mark E. Feinsot, CPA | EIN |
| Use Only | self amployed 352 /th Ave 12th F100t | (212) 621-7579 |
| | address, and New York, NY 10001 | Phone no. (212) 631 7376 Form 1040 (2008) |
| the second second second | | Colinia in the Comment |

FDIA0112L 10/13/09

| 1040 | U.S. Individual Income Tax Re | turn 2007 | na , 2 | 20 | OMB | No. 1545-0074 |
|---|--|------------------------------|--|--------------|------------------|-------------------------------------|
| - T | For the year Jan 1 - Dec 31, 2007, or other tax year beginning | , ZWV, endir | ig : | | Your social s | ecurity number |
| ľ | Your first name MI Las | t name | | | • | |
| 2 * | MERYL BRODSKY | t name | | | Spouse's suc | al security number |
| ľ | If a joint return, spouse's first name ML Las | r name | | | | |
| ∵ન લદ-≑ | | instructions. | Aparlin | ent no. | You m | ust enter your last security |
| | Home address (number and street). If you have a P.O. box, see | | | | ▲ numi | per(s) above. |
| - | City, town or post office. If you have a foreign address, see inst | ructions. | State ZIP code | | Checking a b | ox helow will not tax or refund. |
| | | | | | change your | |
| .g⊌.**1 ≧ 1.5 * | NEW YORK, NY Check here if you, or your spouse if filling jointly, want \$3 | to go to this fund? (see ins | tructions) | | You | Spouse |
| -4: ; | | | | | stifying pers | on). (See |
| ⇒ Status | 7 X Single | لسب | Head of nouserous instructions.) If the but not your deper | | | |
| U. C. | 2 Married filing jointly (even if only one had inco | kara P fulk | name here | | | |
| | 3 Married filing separately. Enter spouse's SSN a | 5 | Qualitying widow(er) w | ith depender | nt child (see in | structions) |
| | name here 🏲 | | | | | s checked and 6b. |
| - ctions | 6a X Yourself, If someone can claim you | as a dependent, do no | M CHECK DOX Od | | No. o | it children |
| # o | b Spouse | (2) Dependent's | (3) Dependent | s (4 | if on G | : who: |
| | c Dependents: | social security | relationship | child | for child with | you |
| | | number | to you | lax (sea | | id not with you |
| | (1) First name Last name | | | | due | to divorce paration |
| | | | | | | (netrs) endents |
| | | | | | on 6 | c not red above. |
| 4 1 11 | | , | | | | pumbers |
| :-::emis | | | <u> </u> | | li no | nos > |
| | d Total number of exemptions claimed | | <u> </u> | | 7 7 | |
| | in the state of th | ~\ 387 ? | | | | |
| ;ı,= | a workle interest Attach Schedule Bill (6 | gguireu | | | | |
| | | | | | 9a | |
| a 1 - 1 1 | b Tax-exempt interest. Do not include on 9a Ordinary dividends, Attach Schedule B i | required | 96 | • | | • |
| Trace A.C.T | b Qualified dividends (see instrs) 10 Taxable retunds, credits, or offsets of state and loc | MITCH 997 : 24YET AMOUNT IN | 311111121 | | 70 | |
| and Hell | | | | | . 11 | |
| 448 40 ²⁻⁴ 8/d | an Discourse or (loss) Attach Sche | aule C or C-E | | <u> </u> | 12 | |
| | All Coli D if rend it not rent | d ck nere | | | 13 | |
| <i>k</i> . | 14 Other gains or (losses). Attach Form 47 | αγ | | | - S | |
| • | 15a IRA distributions 15a | 101 | CVCIDIO CILIAMILI (+ | e insus). | 16b | |
| | 47 1 | | axable amount (se | hadule F | | |
| | | ps, S corporations, tru | ists, etc. Attach 30 | niedaie L. | 18 | |
| | na Carminoomo or /loce) Allach Schedul | B F | | | 19 | |
| 775-7 | 19 Unemployment compensation | | Faxable amount (s | | | |
| na - | 20 a Social security benefits | | Taxable different (- | • | 21 | |
| T | 21 Other income 22 Add the amounts in the far right column | o for lines 7 through 2 | I. This is your tota | l income. | ► 22 | J. L. |
| | 22 Cdunator evaposes (see instructions). | | 23 | | | |
| ಕಾಟ್ರಾಕ್ | and a state of the second | nn artists, and Iee-Dasis | ł I | | | |
| Profit | government officials. Attach Form 2100 of 2100-c | | 24 | | | |
| CLYTTE | 25 Health savings account deduction. Atta | ich Form 6009 | 26 | | | |
| | 26 Moving expenses. Attach Form 3903. | h Schadula SF | | | | |
| | 27 One-half of self-employment tax. Attac | g Scriedale Sc | 28 | | | |
| | 28 Self-employed SEP, SIMPLE, and qua | illied plans | 29 | | \neg | |
| | 29 Self-employed health insurance deduction (see in | structions) | | | | |
| | 30 Penalty on early withdrawal of savings. | | | | | |
| | 31 a Alimony paid b Recipient's SSN 5 32 IRA deduction (see instructions) | | | | | |
| | | istructions) | . 33 | | | |
| | Attach for | m 8917 | | | | |
| | Alfach | Form 8903 | . 35 | | | 4 |
| | 25 Add June 22 21a and 32 , 35 | | | | 36 | |
| | 37 Subtract line 36 from line 22. This is y | | | | ► 37 | |

| | | | | | 1 | Page 4 |
|---|-------------------|-------------|-----------------|---------------|-------------|----------------------------|
| 37 (adjusted gross income) | | | | _ا | . 38 | |
| I were born before January 2, 1943, | Blin | nd. 🥳 | otal boxes | | | |
| wer was horn before January 2, 1943, | | | hecked 🟲 | | - | |
| and a constate return or you were a dual-status alies | n, see in: | strs and | d ck here. 🏲 | 39Ъ | 7 | e partia tha this continue |
| from Schedule A) or your standard deduction (see | left marg | jn) | | | 40 | |
| ram line 38 | | | | | . 41 | |
| | mher | of exe | emptions | | 42 | . |
| 1. If line 38 is over \$117,300, see the inst | ruction | \$ | | | *** | |
| tract line 42 from line 41. | | | | | 43 | |
| line 41, enter -0- | 0014 | | b Form | 4972 | | |
| Check if any tax is from: a Form(s) | 0014 | | ь П. а | | . 44 | |
| e [[Form(s) | 8889 | | | | 45 | 0. |
| rum tax (see instructions). Attach Form 6 | 201 | | | | ≥ 46 | _ |
| 45 | 4 | 7 | | | | |
| ependent care expenses. Attach Form 2441 | ··· | | | | \dashv | } |
| erly or the disabled. Attach Schedule R. | · · · 4 | 8 | | | - | |
| . Attach Form 8863 | · · · · · · | 9 | | , | *** | |
| ly credits. Attach Form 5695 | - | 0 | | | | 1 |
| ¿ Attach Form 1116 if required | 1-3 | 1 | | | | |
| istructions). Attach Form 8901 if required | 5 | 2 | | | | |
| as contributions credit. Attach Form 8880 | · · · <u></u> | 3 | | | | |
| Form 8396 b Form 8859 c Form 883 | - | 4 | | | | |
| Form Form C Form | | 55 | | | | |
| ugh 55. These are your total credits | | | | | <u> 56</u> | |
| rom line 46. If line 56 is more than line 4 | 6, ente | r -0- | | | . 🟲 57 | |
| | | | | | 58 | |
| Corm #137 } | , Fo | rm 891 | 9 | | 59 | |
| athre qualified retirement mans, etc. Attach (VI) | 7757 11 1 | odou. | | | - | |
| income credit payments from horm(5) W | -2, DOX | (¥2 | | • • • • • • • | 6 | |
| wment taxes, Attach Schedule H | | | | | 1 | |
| ic your total tax | <u></u> | | <u> </u> | | ▶ 6 | 558 |
| ax withheld from Forms W-2 and 1099 . | , <u> </u> _ | 54 | | | ▝ | |
| lyments and amount applied from 2006 return | | 65 | | | | |
| redit (EIC) | | 66 a | | | | |
| av election > 66b | | | | | - 18 | |
| and tier 1 RRTA lax withheld (see instructions) | | 67 | | | | |
| ax credit. Attach Form 8812 | ٠٠٠٠ إـ | 68 | | | | |
| uest for extension to fite (see instructions) | ٠٠٠٠ إ | 69 | | | | |
| Form 2439 b Form 4136 c Form | | 70 | | | | |
| prior year minimum lax from Form 8801, line 27 | · · · · L | 71 | - | | —— | |
| and 67 through 71. | | | <u> </u> | <u> </u> | | 2 |
| - line 62 subtract line 63 from line 72. This is the | amount : | you ov | erpaid | | | 73 |
| 3 you want refunded to you. If Form 888 | 38 is at | tache | d, check no | ere. · | بيولسا | /4a |
| ▶ c Typ | e: | Che | cking | Savi | ngs 🏻 | |
| | | , | | | | |
| u want applied to your 2008 estimated tax | | 75 | | | | |
| obtract line 72 from line 63. For details on how to p | ay, see i | nstruc | ions | | | 76 |
| analty (see instructions) | | 77 | | | 183 | l late |
| r person to discuss this return with the IRS (see in | struction | s)? | | X Yes | . Comp | lete the following. No |
| | 7110111 | - ≪ | | | nu | mber (PIN) |
| declare that I have examined this return and accomp | | chedul | es and stalem | ents, and | to the be | st of my knowledge and |
| declare that I have examined this return and accompand complete. Declaration of preparer (other than be | axpayer) | is base | d on all inform | io noder | Autout brei | |
| Date | | Your | ecupation | | | Daytime phone number |
| | | RES | EARCH | | | |
| int return, both must sign. Date | | Spous | e's occupation | 1 | | |
| all tempt, nom men5.0 | | | | | | |
| Da | le | · | | | | Preparer's SSN or PTIN |
| | 3/16/ | /08 | Check ii salf | -employe | X | |
| E LETMOOT | - 1 - 4 - 7 | | | | | |
| | | | | | IN | |
| th Ave 12th Floor | | | | | hone no. | (212) 631-7578 |
| ork, NY 10001 | | | | | | Form 1040 (2007 |

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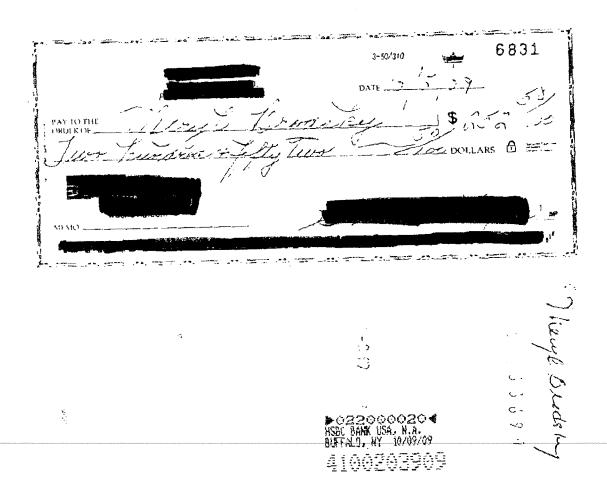
HSBC Bank USA, N.A. - Image Archive

| CAROL BRODSKY 150 E. 61ST STREET, APT. 11K NEW YORK, NY 10065-8527 | 2243 10 06 09 Date |
|--|---|
| Pay to the MERYL B | RODSKY \$ 252.50 |
| IWO HUNDRED E | VFTY-TWO - 50 Dollars 100 |
| COPILED CINC. N.A. FOR 2. TICKETS | Carol Brodoley. |
| 10 | age organisa. Compression of a member organisation of the members |

| Account Number | Date Fosted | Ird Ind | Check Number | Amount | Sequence Number | Tran Code |
|----------------|-------------|---------|-----------------|--------|--------------------|--------------|
| | 10/09/2009 | | 0000002243 | 252.50 | 41203910 | 002243 |

Item 3 of 3





| Account Number | Date Posted | Ird Ind | Check Number | Amount | Sequence Number | Tran Code |
|--|-------------|---------|-----------------|--------|--------------------|--------------|
| and the second of the second o | 10/09/2009 | | 0000006831 | 252.50 | 41203909 | 006831 |

Item 2 of 3